



CABINET

18 April 2018

A meeting of the CABINET will be held on Thursday, 26th April, 2018, 6.00 pm in Committee Room 1, Marmion House, Lichfield Street, Tamworth, B79 7BZ

A G E N D A

NON CONFIDENTIAL

1 Apologies for Absence

2 Minutes of Previous Meeting (Pages 1 - 6)

3 Declarations of Interest

To receive any declarations of Members' interests (pecuniary and non-pecuniary) in any matters which are to be considered at this meeting.

When Members are declaring a pecuniary or non-pecuniary interest in respect of which they have dispensation, they should specify the nature of such interest. Members should leave the room if they have a pecuniary or non-pecuniary interest in respect of which they do not have a dispensation.

4 Question Time:

To answer questions from members of the public pursuant to Executive Procedure Rule No. 13

5 Matters Referred to the Cabinet in Accordance with the Overview and Scrutiny Procedure Rules

None

6 Quarter Three 2017/18 Performance Report (Pages 7 - 64)

(Report of the Leader of the Council)

7 Proposed Changes to NPPF and reforming developer contributions (Pages 65 - 92)

(Report of the Portfolio Holder for Regeneration)

8 Contract for Collection, Kennelling, Rehoming of Stray Dogs in Tamworth
(Pages 93 - 94)

(Report of the Portfolio Holder for Environment and Culture)

Yours faithfully

A handwritten signature in black ink, consisting of stylized initials and a long horizontal line extending to the right.

Chief Operating Officer

People who have a disability and who would like to attend the meeting should contact Democratic Services on 01827 709264 or e-mail committees@tamworth.gov.uk preferably 24 hours prior to the meeting. We can then endeavour to ensure that any particular requirements you may have are catered for.

To Councillors: D Cook, R Pritchard, S Claymore, S Doyle and M Thurgood.



MINUTES OF A MEETING OF THE CABINET HELD ON 5th APRIL 2018

PRESENT: Councillor D Cook (Chair), Councillors R Pritchard (Vice-Chair), S Claymore, S Doyle and M Thurgood

The following officers were present: Rob Barnes (Executive Director Communities), Andrew Barratt (Chief Operating Officer), Matthew Bowers (Head of Managed Growth, Regeneration and Development) and Tina Mustafa (Head of Landlord Services)

126 APOLOGIES FOR ABSENCE

No apologies for absence were received.

127 MINUTES OF PREVIOUS MEETING

The Minutes of the previous Cabinet meeting held on the 15th March 2018 were approved and signed as a correct record

(Moved by Councillor R Pritchard and seconded by Councillor S Claymore)

128 DECLARATIONS OF INTEREST

There were no Declarations of Interest.

129 QUESTION TIME:

None

130 MATTERS REFERRED TO THE CABINET IN ACCORDANCE WITH THE OVERVIEW AND SCRUTINY PROCEDURE RULES

None

131 CIL AND PLANNING OBLIGATIONS

The Report of the Portfolio Holder for Regeneration to ask members to consider the Planning Inspectorate's report of the examination of the Tamworth Borough Council Community Infrastructure Levy Charging Schedule and make recommendations to Council to adopt the Charging Schedule. Further to consider a new Planning Obligations Supplementary Planning Document (SPD), a revised Infrastructure Delivery Plan (IDP) and a revised Regulation 123 list and authorise public consultation on them prior to consideration for adoption at Council.

RESOLVED: That Cabinet

1. endorsed the Planning Inspectorate's report of the examination in Appendix A of the Tamworth Borough Council Community Infrastructure Levy Charging Schedule, the proposed modifications and proposed Charging Schedule contained in Appendix B and is recommended that Cabinet refer the matter to Council for adoption of the Community Infrastructure Levy Charging Schedule with an appropriate commencement date in accordance with the Planning Act 2008 (as amended) and Community Infrastructure Levy Regulations (as amended) 2010;
2. authorised public consultation on the revised Infrastructure Delivery Plan contained in Appendix C and considered the adoption alongside the consideration of the Community Infrastructure Levy Charging Schedule;
3. authorised public consultation on the revised Regulation 123 list contained in Appendix D and requests Council to consider adoption alongside the consideration of the Community Infrastructure Levy Charging Schedule;
4. authorised public consultation on the draft Planning Obligations Supplementary Planning Document (2018) contained in Appendix E and requests Council to consider adoption alongside the consideration of the Community Infrastructure Levy Charging Schedule;
5. Cabinet delegated authority to the Head of Managed Growth, Regeneration and Development in consultation with the Portfolio Holder for Regeneration to make amendments to the draft Planning Obligations Supplementary Planning Document, revised Infrastructure Delivery Plan and revised Regulation 123 list following public consultation prior to their consideration by Council;
6. refers to Council for approval the cancelling of the existing Planning Obligations Supplementary Planning Document

(2007) and the Open Space for New Development Supplementary Planning Document (2007) and that they are no longer a material consideration when considering planning applications.

(Moved by Councillor S Claymore and seconded by Councillor R Pritchard)

132 PURCHASE OF NEW BUILD PROPERTIES UNDER THE COUNCILS ACQUISITIONS POLICY

Report of the Portfolio Holder for Housing Services, Portfolio Holder for Regeneration to update Cabinet on the progress of new affordable housing delivery in Tamworth and to ensure appropriate financial arrangements are in place to enable the purchase of 6 units of new build housing from (Adam) Cooper Homes Limited for use as Council owned and managed accommodation. Also, to agree to additional resources being made available to enable the purchase of a further 8 units of new build housing from Waterloo Housing Group as agreed at Cabinet in November 2017.

RESOLVED: That Cabinet

1. Authorised £746,500 (inclusive of legal costs) be transferred from available budget intended for regeneration and new build activity to the acquisitions budget. This is to enable the purchase of 6 residential units for housing from (Adam) Cooper Homes Limited in line with the Council's Acquisitions Policy;
2. Agreed the Chief Operating Officer and Solicitor to the Council be delegated authority to enter into a contract with (Adam) Cooper Homes Limited for the purchase of the 6 No dwellings as proposed above and;
3. Authorised a further £201, 056.89 be made available to secure the purchase of 8 units of new build housing from Waterloo Housing Group. The additional costs were identified by Waterloo in January 2018 resulting in a revised total purchase price of 961,056.89 (inclusive of legal costs). It is recommended that a further £201,060 be transferred from the available budget intended for regeneration and new build activity to the acquisitions budget.

(Moved by Councillor S Claymore and seconded by Councillor M Thurgood)

133 EXCLUSION OF THE PRESS AND PUBLIC

RESOLVED: That members of the press and public be now excluded from the meeting during consideration of the following item on the grounds that the business involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended).

(Moved by Councillor D Cook and seconded by Councillor S Claymore).

134 TINKERS GREEN AND KERRIA REGENERATION

Report of the Portfolio Holder for Regeneration to update Cabinet on the progress in respect of the Tinkers Green and Kerria Regeneration Programme and to agree financing arrangements for the scheme and endorse the submission of a bid to Homes England for Affordable Homes Grant, to agree contract arrangements with ENGIE the Council's preferred contractor, agree the approach to rent charging for the new properties, to agree to the development of a Local Lettings Plan for the allocation of the new homes once completed and: to agree outcomes in respect of community art situated around the Kerria Centre.

RESOLVED: That Cabinet

1. Approved the budget virements from the Garage Sites Redevelopment, Acquisitions and Retention of Garage Sites Budgets totalling £4.339m;
2. endorsed the submission of a bid for Affordable Homes grant from Homes England;
3. Agreed that authority be delegated to the Corporate Director for Communities in consultation with the Portfolio Holder for Regeneration and Solicitor for the Council to enter into a formal contract with ENGIE for delivery of building works including the demolition of the Kerria Centre;
4. Agreed that authority be delegated to the Corporate Director for Communities in consultation with the Portfolio Holder for Housing to agree the rent levels for the new properties in accordance with the Council's variable rent policy
5. Agreed that a further report be submitted to Cabinet to agree a Local Lettings Plan for the allocation of the new homes and;
6. Agree an outcome in respect of Community Art situated at the Kerria Centre.

7. Delegate authority to the Leader with the support of the Executive Director of Communities to decide the future of the Kerria Centre statute.

(Moved by Councillor S Claymore and seconded by Councillor R Pritchard)

135 PILOT SCHEME FOR ERINGDEN 6-STOREY BLOCK

Report of the Portfolio Holder for Housing Services to set out arrangements for a pilot scheme at the 6-storey block at Eringden in Stonydelph, providing for an intensive housing management scheme for 12-months. Designed to reduce the levels of waste demand on core services by improving the housing management and maintenance of the block as required under the landlord service regulatory standards and as detailed in the review provided at Annex one. <https://www.gov.uk/government/publications/regulatory-standards>

RESOLVED: That Cabinet

1. Approved a twelve (12) month pilot scheme at Eringden 6-storey block that allows for the introduction of intensive housing management sited within the block that is designed to improve resident satisfaction, reduce homelessness by promoting tenancy sustainment and which enhances partnership working to support vulnerable tenants (new &/or existing):
2. approved the re-designation of a ground floor flat to an office 'hub' that allows for a dedicated resident support officer to be based; as well as promoting partner engagement and presence in the block – subject to the usual planning and building regulatory requirements;
3. noted that rent loss associated with the re-designation of a residential unit to office premises will be in the region of £3,840PA. Any associated home-loss payments, to facilitate this, will be met from existing HRA resources. Any statutory payments will be in accordance with the allocations policy;
4. supports, in principle, the temporary recruitment of a resident support officer, delegating authority to the Head of Paid Service to agree the final detail based on the business case with regard to the final assessed JE grade and role in line with option 4 set out in the report and;
5. agreed to waive service charges for the intensive housing management scheme for the duration of the pilot so that

critical success factors can be measured informing a decision on the future of the scheme longer-term.

(Moved by Councillor M Thurgood and seconded by Councillor S Doyle)

Leader

THURSDAY, 26 APRIL 2018

**REPORT OF THE LEADER OF THE COUNCIL
QUARTER THREE 2017/18 PERFORMANCE REPORT**

EXEMPT INFORMATION

Not applicable

PURPOSE

This report aims to provide Cabinet with a performance and financial health-check. (The report was considered by Corporate Scrutiny Committee on 4th April 2018 who endorsed the contents of the report).

RECOMMENDATIONS

That Cabinet endorses the contents of this report.

EXECUTIVE SUMMARY

This report is divided into the following sections:

1. Overview of corporate plan actions, measures and corporate risks,
2. Impact of welfare benefit reform,
3. Sustainability Strategy,
4. Financial health check

OPTIONS CONSIDERED

Not applicable

RESOURCE IMPLICATIONS

There are none

LEGAL/RISK IMPLICATIONS BACKGROUND

There are none

SUSTAINABILITY IMPLICATIONS

There are none

REPORT AUTHOR

John Day

APPENDICES

Quarter three 2017/18 Performance Report

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Quarter Three 2017/18 Performance Report

1. Overview of corporate plan actions and corporate risks
2. Impact of Welfare Benefit Reform on Council services
3. Sustainability strategy
4. Financial healthcheck

Appendix A 2017/18 Corporate plan actions and performance measures update,

Appendix B Corporate Risks

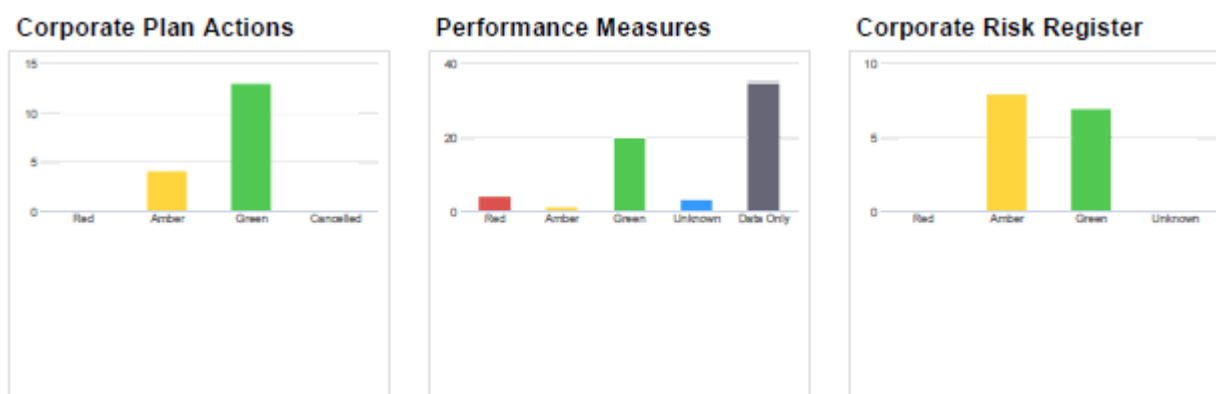
Appendix C General Fund & Housing Revenue Account main variances

Appendix D Capital programme monitoring

Appendix E Treasury management update

1. Overview of corporate plan actions, performance measures and corporate risks

The current status of corporate plan actions, measures and corporate risks is shown below.



Further details are available in the appendices:

- Corporate plan actions and performance measures: **Appendix A**
- Corporate risks: **Appendix B**

2. Impact of Welfare Benefit Reform on Council services

Quarterly updates are presented to monitor the impact of welfare benefit reform changes on Council services including customer demand via monitoring of calls/contacts together with the financial impact of collection and demand for benefits and effect on income streams such as rent, council tax and business rates.

Benefits

An increase in DHP claims is reported - DHP claims paid total £99k (£6k higher than at December 2016 - £93k) with 194 successful claims from 309 applications (compared to 203 successful claims from 264 applications at December 2016) although there is a 6 week backlog (1 week as at December 2016) with claims still to be processed which will increase this figure.

Live caseload figures are 348 lower than 2016/17 – currently 5,883 (6,231 at December 2016). The average time taken to process new Housing Benefit/Council Tax Benefit claims and change events was 13 days to December 2017 (12.5 days to December 2016).

NNDR

Reminders (520 at September 2017) are lower than 2016/17 levels (648 at December 2016) with summons, liability orders and enforcement agent referrals also at lower levels than 2016/17.

Current year collection levels are at 83.4%, slightly behind target by 0.1% at 30 December. Court costs are £2k below target of £7k.

Arrears for 2016/17 are 45.1% compared to target of 30.7%.

Council Tax

Reminders are 147 lower than 2016/17 levels (10,354 at 30 December 2017 compared to 10,501 at 30 December 2016) but summonses and liability orders are at slightly higher levels.

Strong collection performance is reported - with current year collection levels at the target of 87% at December 2017 (with a target of 98% for the 2017/18 financial year). Court cost income is higher than target by £18k at £217k.

Arrears for 2016/17 are slightly ahead of target at 44.1% compared to target of 42.6% - work on further approaches to realise more Council Tax revenue is in place.

Collection Fund – the estimated surplus is £29k for the year with a LCTS projected underspend of £27k (total £56k).

Housing

Total Rent arrears (excluding former tenants) at 30 December 2017 was £531k compared to £329k (as adjusted) at 31 March 2017 – an increase of £202k (compared to a £148k increase as at 30 December 2016).

Total arrears (including garages etc.) are £1.85m at 30 December 2017, compared to £1.6m at 31 March 2017, an increase of £249k (compared to a £251k increase between 31 March 2016 and 30 December 2016).

Total arrears (including garages etc.) were £1.6m at 31 March 2017 compared to 31 March 2016 - £1.46m (£146k higher).

There were 13 evictions during Quarters 1,2 & 3 of 2017/18 compared to 8 during the same period in 2016/17.

3. Sustainability Strategy

Medium Term Financial Strategy 2017-2022 Monitoring,

The 2017/18 budget and Medium Term Financial Strategy (MTFS) ensures that appropriate resources are focussed on the Vision Statement, Priority Themes, Corporate Priorities and Outcomes.

The Authority has been proactive in the design and implementation of innovative and effective measures for driving efficiency and reduce cost within the MTFS.

The budget setting process has faced significant constraints in Government funding in recent years - over 50% in real terms since 2010. The 4 year Local Government Finance Settlement confirmed in February 2016 that austerity measures are to continue with Revenue Support Grant (RSG) all but eradicated for most Councils by 2020 – and suggests that the key challenges that the Authority is currently addressing are likely to become greater.

In an attempt to provide a clear 'route map' for the transition from surviving to thriving, the Council has designed and adopted a series of strategic plans, policies and processes. "From Surviving To Thriving" set out a number of opportunities based upon the principle that by focusing upon the growth of the economy, both the "people" and the "place" would benefit. The Council remains committed to promoting and stimulating economic growth and regeneration; meeting our housing needs; creating a vibrant town centre economy and protecting those most vulnerable in our communities. To this end, we pledge to explore and invest in viable and sustainable methods of generating income and moving towards financial independence.

There also remains a high degree of uncertainty arising from the most significant changes in Local Government funding for a generation arising from the Business Rates Retention System, changes in Support for Council Tax and Technical Reforms to Council Tax - as well as other changes arising from the Government's Welfare Reform Agenda.

The adoption of 'Demand Management' as the primary operating model and the targeting of resources via locality based commissioning and delivery has enabled greater effectiveness in service delivery as evidenced by customer satisfaction, award winning services and of course, the management of the Council's finances.

Through its implementation, the Council will have far greater control upon the alignment of services or 'supply' to the increased needs and expectations of the public or 'demand'.

Key to this will be the application of existing and new technology to capture, collate and analyse customer insight, intelligence and data so as to understand not just the 'need' but the cause, behaviours or decisions creating the need.

Then by the application of locality based commissioning for example, it can commission services that either intervene or prevent future need thereby reducing demand. The report entitled 'Creating Opportunities from an Uncertain Future' is available to all Members and is available to the public.

This approach will change the organisation and how it works; will require Members to take difficult decisions and adhere to them; will involve managed risks and will sustain essential

services critical in supporting the most vulnerable in our communities at a time when demand is increasing and resources reducing.

Work is continuing on a number of actions to address the financial position in future years:

- Delivering Quality Services project – the demand management approach to shift demand to more efficient methods of service delivery – online and automation (Interactive Voice Response). A savings target of £100k p.a. has already been included within the MTFS together with reduced CRM costs of £62k p.a. from 2019/20;
- Recruitment freeze – where possible, temporary 12 month appointments are now only being made; there is a robust challenge / re-justification process in place for all vacant posts with a requirement to investigate alternative options including restructuring to fill vacancies / looking at what we can stop doing. This means we have the opportunity to increase the vacancy allowance from 5% to 7.5% c. £45k p.a. year on year for the General Fund (£14k p.a. – HRA); It should be noted that staffing in some services e.g. planning, are key to the delivery of the Council's economic growth agenda and have significant demand from the public and local businesses but can also experience severe recruitment difficulties – which may lead to the use of market supplements to attract staff.
- Spend freeze – Managers have previously been required to restrict / limit spending to essential spend only (there was a £1.6m underspend in 2016/17 – although the majority was windfall income, c. £0.75m was lower level underspend);
- Alternative investment options arising from the Commercial Investment Strategy (as well as the Treasury Management Investment Strategy, including any prudential borrowing opportunities) to generate improved returns of c. 5% p.a. (plus asset growth) including:
 - Set up of trading company to develop new income streams;
 - Local investment options – Lower Gungate / Solway Close development including the potential to drawdown funding from the Local Growth Fund / Local Enterprise Partnerships (GBS and Staffordshire);
 - Investments in a Diversified Property Fund;
 - Investments in a Diversified Investment Vehicle (property, shares etc.);

Note: these would represent long term investments of between 5 – 10 years (minimum) in order to make the necessary returns (after set up costs).

- Review of reserves / creation of fund for transformation costs (if needed), and
- Targeted Savings – to identify potential areas for review in future years

Corporate Management Team (CMT) review the most up-to-date budget forecasts on a quarterly basis, and discuss the delivery of the Sustainability Strategy and our Medium Term Financial Strategy (MTFS) – as outlined below.

General Fund

The updated forecast as at December 2017 is detailed below:

| | General Fund | | | | | | |
|---|--------------|---------|---------|---------|---------|---------|---------|
| MTFS Projections 2017/18 - 2021/22 | 2016/17 | 2017/18 | 2018/19 | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
| | £'000 | £'000 | £'000 | £'000 | £'000 | £'000 | £'000 |
| Projected Balances per MTFS Council February 2017 | (5,781) | (4,326) | (2,737) | (502) | (797) | 476 | 1,008 |
| Revised Forecast Balances - December 2017 | (6,588) | (6,374) | (3,495) | (1,619) | (32) | 1,278 | 2,650 |

On 20th July, Cabinet approved the budget setting process (& project plan) for 2018/19.

In line with the approved timetable, work on the preparation of the detailed 5 year budget / forecast progressed in order to inform the Base Budget Forecast for Cabinet on 27th November and the Draft MTFS for Cabinet and Joint Scrutiny Committee in January 2018.

Currently projections identify General Fund balances of £32k over 3 years (compared with a forecast in February of £0.8m) – a shortfall of £468k with a shortfall of £1.8m to 2021/22 increasing to £3.2m over 5 years (the shortfall was previously forecast at £1m in 2021/22), including the minimum approved level of £0.5m;

Further savings of around £0.6m p.a. will be required over the next 5 years (based on annual £5 increases in Council Tax). On an annualised basis this would equate to a year on year ongoing saving of £210k over 5 years.

The forecast has been updated to include:

- a) the projected outturn underspend of £1.24m for 2017/18 (as at Period 8);
- b) The approved council tax base of 21,438;
- c) Updated business rates tariff levels (with lower costs of c. £0.25m p.a.) following publication of the Local Government Finance Settlement (and after the Business Rates revaluation from April 2017 have been factored in). RSG levels were unchanged as the 4 year offer was confirmed;
- d) Indicative Business Rates income following the publication of the new multiplier and revised valuations from 1 April 2017 – these are still subject to finalisation / review (including any surplus/deficit from 2017/18) prior to 31 January deadline (& approval of the indicative forecast by Cabinet in January);
- e) Revised New Homes Bonus levels following confirmation from the DCLG;
- f) Additional savings of c.£100k p.a. from a review of vacant posts by CMT.

Housing Revenue Account

The updated forecast as at December 2017 is detailed below:

| | Housing Revenue Account | | | | | | |
|--|-------------------------|---------|---------|---------|---------|---------|---------|
| MTFS Projections 2017/18 - 2021/22 | 2016/17 | 2017/18 | 2018/19 | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
| | £'000 | £'000 | £'000 | £'000 | £'000 | £'000 | £'000 |
| Projected Balances per MTFS Council February 2016 | (5,752) | (5,392) | (3,985) | (3,520) | (3,193) | (2,977) | (2,777) |
| Revised Forecast Balances - December 2017 | (6,353) | (6,871) | (3,076) | (2,249) | (1,553) | (974) | (561) |

A balanced 5 year case forecast was presented for the Housing Revenue Account (HRA).

Currently projections identify HRA balances of £1.6m over 3 years (compared with a forecast in February of £3.2m) with balances of £1m over 4 years to 2021/22 reducing to £0.6m over 5 years (balances were previously forecast at £3m in 2021/22), including the minimum recommended balances of £0.5m.

The forecast has been updated to include:

- a) the projected outturn underspend of £795k for 2017/18 (as at Period 8);
- b) With regard to reduced income levels from the potential economic uncertainty – an increased provision for higher debt write offs has already been included.

4. Financial healthcheck

Executive Summary

This section to the report summarises the main issues identified at the end of December 2017.

General Fund

Revenue

| GENERAL FUND | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 |
|---|--------------------|-------------------|------------------|----------------|------------------------------|------------------|
| Chief Executive | 135 | 125 | (10) | (18) | (48) | (30) |
| Executive Director Corporate Services | 162 | (46) | (208) | 556 | 305 | (251) |
| Director of Finance | 2,753 | 2,074 | (679) | 503 | (314) | (817) |
| Director of Technology & Corporate Programmes | 931 | 995 | 64 | 20 | 44 | 24 |
| Solicitor to the Council | 596 | 473 | (123) | 823 | 732 | (91) |
| Director of Transformation & Corporate Performance | 1,057 | 1,025 | (32) | 283 | 257 | (26) |
| Director of Communities, Planning & Partnerships | 7 | 1 | (6) | - | (7) | (7) |
| Director of Communities, Partnerships & Housing | 765 | 647 | (118) | 1,834 | 1,780 | (54) |
| Director of Growth, Assets & Environment | 3,351 | 2,717 | (634) | 5,623 | 5,377 | (246) |
| Total | 9,757 | 8,011 | (1,746) | 9,624 | 8,126 | (1,498) |

- The General Fund has a favourable variance against budget at period 9 of £1.7m (£1.5m favourable at period 8).
- The projected full year position identifies a projected favourable variance against budget of £1.5m or 15.6% (£1.24m or 12.9% at period 8).
- This projection has highlighted several budget areas for concern (detailed at **Appendix C**).
- A balance of £50k was held in the General Contingency Budget at the end of December 2017.

Capital

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 |
|---|-------------------------------------|-----------------|----------------|----------------|--------------|------------------------|----------------|----------------|--------------|
| Director of Technology & Corporate Programmes | 148 | 316 | 154 | (162) | 372 | 208 | (164) | 147 | 355 |
| Director of Communities, Partnerships & Housing | 92 | 92 | - | (92) | 92 | 12 | (80) | 80 | 92 |
| Director of Growth, Assets & Environment | 1,152 | 3,182 | 1,094 | (2,088) | 3,687 | 1,597 | (2,090) | 1,970 | 3,567 |
| Contingency | 1,340 | 1,429 | - | (1,429) | 1,459 | - | (1,459) | 559 | 559 |
| TOTAL GENERAL FUND | 2,732 | 5,019 | 1,248 | (3,771) | 5,610 | 1,817 | (3,793) | 2,756 | 4,573 |

- Capital expenditure incurred was £1.248m compared to a profiled budget of £5.019m (£1.14m compared to a profiled budget of £4.63m as at period 8).
- It is predicted that £1.817m will be spent by the year-end compared to a full year budget of £5.61m (this includes re-profiled schemes from 2016/17 of £2.73m).
- There is a projected requirement to re-profile £2.756m of spend into 2018/19.
- A summary of Capital expenditure is shown at **Appendix C**.

Treasury Management

- At the end of December 2017 the Authority had £64.81m invested in the money markets. The average rate of return on these investments is 0.52% though this may change if market conditions ease.
- Borrowing by the Authority stood at £63.060m at the end of December 2017, all being long term loans from the Treasury Public Works Loans Board. The average rate payable on these borrowings equates to 4.05%. At this point it is anticipated that our interest payments will be £2.554m compared to the budgeted figure of £2.713m, a favourable variance of £159k, as due to market conditions (including the continued low interest rate environment), it is not intended to take additional debt of £8m as previously planned (to be monitored as market conditions evolve).
- A more detailed summary of the Treasury Management situation, detailing our current Lending and Borrowings can be found at **Appendix E**.

Balances

Balances on General Fund are projected to be in the region of £6.63m at the year-end from normal revenue operations (£6.38m at period 8) compared to £4.33m projected within the 2017/18 budget report – additional balances of £2.3m.

Housing Revenue Account (HRA)

Revenue

| HOUSING REVENUE ACCOUNT | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 |
|---|-----------------|----------------|----------------|-------------|------------------------|---------------|
| Director of Communities, Partnerships & Housing | 2,255 | 2,106 | (149) | 3,954 | 3,871 | (83) |
| Director of Growth, Assets & Environment | 100 | 106 | 6 | (37) | (37) | - |
| HRA Summary | (13,535) | (13,796) | (261) | (3,556) | (3,824) | (268) |
| Housing Repairs | 3,145 | 2,181 | (964) | - | (506) | (506) |
| Total | (8,035) | (9,403) | (1,368) | 361 | (496) | (857) |

- The HRA has a favourable variance against budget at period 9 of £1.37m (£1.21m at period 8).
- The projected full year position identifies a favourable variance against budget of £857k (£795k at period 8). Individual significant budget areas reflecting the variance are detailed at **Appendix C**

Capital

| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 |
|---|-------------------------------------|-----------------|----------------|-----------------|---------------|------------------------|-----------------|----------------|---------------|
| Director of Communities, Partnerships & Housing | 6,323 | 15,286 | 3,110 | (12,176) | 18,274 | 4,215 | (14,059) | 14,052 | 18,267 |
| Director of Growth, Assets & Environment | 3,151 | 6,686 | 2,419 | (4,267) | 7,734 | 4,759 | (2,975) | 2,970 | 7,729 |
| HRA Contingency | 100 | 100 | - | (100) | 100 | - | (100) | 100 | 100 |
| TOTAL HOUSING REVENUE ACCOUNT | 9,574 | 22,072 | 5,529 | (16,543) | 26,108 | 8,974 | (17,134) | 17,122 | 26,096 |

- Housing Capital expenditure of £5.529m has been incurred as at the end of period 9 compared to a profiled budget of £22.072m (£6.186m compared to a profiled budget of £20.794m as at period 8).
- It is predicted that £8.974m will be spent by the year-end compared to the full year budget of £26.108m (including £9.57m re-profiled from 2016/17);
- There is a projected requirement to re-profile £17.122m of spend into 2018/19.
- A summary of Capital expenditure is shown at **Appendix D**.

Balances

Balances on the Housing Revenue Account are projected to be in the region of £6.85m at the year-end (£6.79m at period 8) compared to £5.39m projected within the 2017/18 budget report – additional balances of £1.46m








2017/18 Corporate Plan Progress Report

2017 – 2020 Corporate Plan Actions

Corporate Priority 1. Living a quality life in Tamworth

| | | | |
|---|---|---------------------------|--|
| Project/Action | Maintain & Manage the environment within Tamworth | | |
| Desired Outcome | Well managed local nature reserves and sports pitches that are maintained at a level proportionate to their use. Provision of high quality open spaces both existing and arising from new developments. Ensure the Council meets its obligations to ensure its watercourses and drainage systems are maintained. Provision of well-maintained play facilities. To ensure cleansing is carried out to a standard that meets or exceeds measured requirements Achieving a gold standard in the 'Tamworth in Bloom' programme | | |
| Latest Update | | | |
| Milestones | Due Date | Completed (Yes/No) | Note |
| Balancing pond dredging works scoped in accordance with the management plan | 30-Jun-2017 | No | Tender documents prepared. |
| Tamworth In Bloom Judging | 18-Jul-2017 | Yes | Judging took place on 18th July 2017. |
| Play area provision scoped | 30-Sep-2017 | Yes | Project scoped and considered by Cabinet in August 2017. Project now in tender stage. |
| Tamworth in Bloom award notification | 30-Sep-2017 | Yes | 'Gold' award achieved for the eighth consecutive year. |
| Ongoing commitment throughout the year to ensure green spaces are managed | 31-Mar-2018 | No | Identified projects are underway. |

| | | | | |
|-----------------------------|-------------------|----------------------|--------------------|--------------------|
| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|-----------------------------|-------------------|----------------------|--------------------|--------------------|



| | | | | |
|---|---|-------|---------------|---|
| Installation of one new play facility |  | Yes | 2017/18 | The play facility was installed in December 2017. |
| Ongoing works to deliver the output of the watercourse management plan are actioned |  | | | |
| Tamworth 'In Bloom' Gold Award |  | 3 | 2017/18 | 'Gold' awarded for the eight year in a row. |
| Improved street and environmental cleanliness – Detritus |  | 6.93% | November 2017 | |
| Improved street and environmental cleanliness – Graffiti |  | 2.24% | November 2017 | |
| Improved street and environmental cleanliness – Litter |  | 6.89% | November 2017 | |
| Improved street and environmental cleanliness – Dog Fouling |  | 3.21% | November 2017 | |






| | | | | |
|---|---|---------------------------|--|--|
| Project/Action | Delivery of the Community Safety Partnership | | | |
| Desired Outcome | The adoption of the community safety action plan Early intervention programmes commissioned for priority themes Continued development of the multi-agency approach to problem solving | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Commissioning process for the action plan commences | 30-Apr-2017 | Yes | Commissioning is ongoing throughout the year. | |
| Community Safety Assessment received. | | Yes | Assessment received and used to inform the current community assessment action plan. | |

| | | | | |
|-----------------------------|-------------------|----------------------|--------------------|--------------------|
| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|-----------------------------|-------------------|----------------------|--------------------|--------------------|

| | | | | |
|--|---|-------|------------|-------------------------------|
| All Crime – Total |  | 6,772 | 2017 | Calendar year to date figure. |
| Incidents of Anti-Social Behaviour |  | 2,142 | 2017 | Calendar year to date figure. |
| Percentage of people surveyed who feel very/fairly safe outside in their local area after dark (FTD survey) |  | 82% | H1 2017/18 | Waves 20 to 23. |
| Percentage of people surveyed who feel very/fairly safe outside in their local area during the day (FTD survey) |  | 100% | H1 2017/18 | Waves 20 to 23. |
| Percentage of people surveyed who had been a victim of crime in the last twelve months (FTD survey) |  | 9% | H1 2017/18 | Waves 20 to 23. |
| Percentage of people surveyed who feel very/fairly likely to be a victim of crime in their local area (FTD survey) |  | 17% | H1 2017/18 | Waves 20 to 23. |

| | | | | |
|------------------------|---|---------------------------|-------------|--|
| Project/Action | Delivery of an effective regulatory service | | | |
| Desired Outcome | Continue to support businesses to comply with legislation Ensure the statutory inspection plan of food businesses provides a high standard of hygiene in the Borough. Delivery of effective taxi, gambling and premise licensing Delivery of an effective and responsive development control service | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| | | | | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|----------------------|--------------------|--------------------|
| The number of food businesses that are 0 and 2 star rated |  | 40 | Q3 2017/18 | |
| The quarterly percentage of planned high risk interventions undertaken |  | 100% | Q3 2017/18 | |

| | | | | |
|---|---|-------|------------|---|
| The percentage of planned interventions undertaken |  | 100% | Q3 2017/18 | |
| The percentage of complaints relating to smoking legislation responded to |  | 100% | H1 2017/18 | |
| The annual percentage of planned inspections undertaken of industry for environmental emissions |  | 100% | 2016/17 | |
| Satisfaction of business with local authority regulation services (Tamworth) |  | 83% | 2016/17 | |
| Food establishments in the area which are broadly compliant with food hygiene law (Tamworth) |  | 86.2% | 2016/17 | Tamworth has had a small increase of almost 2% (of the total number of premises registered) in the number of persons catering from home compared to that which we had planned to undertake. |







| Project/Action | Growth & Regeneration in Tamworth | | |
|---|--|--------------------|---|
| Desired Outcome | <p>Enterprise Quarter regeneration including a modernised cultural offer, enhanced night-time offer, quality public realm, and facility for new businesses to grow</p> <p>The delivery of a vibrant and meaningful inward investment strategy to promote the Town to business</p> <p>Delivery of a trading arm to provide facility to invest in assets such as housing and commercial facilities which can provide sustainable income to the Council</p> <p>Continued progression of enhancement to Tamworth's gateways</p> <p>The production of a town centre strategy Continued engagement with WMCA, LEPS for the purpose of growth, skills, infrastructure and economy</p> | | |
| Latest Update | | | |
| Milestones | Due Date | Completed (Yes/No) | Note |
| The Opening of the Enterprise Centre | 31-May-2017 | Yes | The centre is operational and currently 100% occupied. |
| Scoping works for both the production of an inward investment strategy and a town centre strategy. | 30-Jun-2017 | Yes | |
| Adoption of the inward investment strategy and the town centre strategy | 28-Feb-2018 | No | |
| Re-opening of the Assembly Rooms and new facility at the Carnegie Centre | 28-Feb-2019 | No | |
| Completion of the public realm enhancements | 30-Apr-2019 | No | |
| Completion of works to Tamworth Library | 30-Apr-2019 | No | |
| On-going works to review assets and regeneration opportunities as part of the CIS board. | | No | |
| Scoping works with partners to look at viability/opportunities with the current vacant site at Gungate. | | No | This is an ongoing project. The site assessment is completed and discussions are underway with the site |

| | | | |
|--|--|--|---------|
| | | | owners. |
|--|--|--|---------|

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|------------|---------------|---------------|-------------|
| Number of Businesses | | 2,335 | 2017/18 | |
| Number of empty Business Premises | | 210 | December 2017 | |
| Percentage of total rateable value of commercial floor space that is unoccupied | | 5% | 2017/18 | |
| Percentage change in rateable value of commercial buildings | | 1.61% | Q2 2017/18 | |
| Birth of New Business Enterprises in the year | | 355 | 2016/17 | |
| Death of Business Enterprises in the year | | 290 | 2016/17 | |
| Number of active Business Enterprises | | 2,620 | 2016/17 | |



| Project/Action | Tinkers Green & Kerria Regeneration | | |
|-----------------------------|---|--------------------|--|
| Desired Outcome | Access to suitable housing is improved Neighbourhoods are improved Satisfaction with neighbourhoods increased | | |
| Latest Update | | | |
| Milestones | Due Date | Completed (Yes/No) | Note |
| 1. Demolition completed | | No | The demolition at Tinkers Green is now complete |
| 2. Contractor appointed | | Yes | Contractor appointed |
| 3. Contractor start on site | | No | Date being reviewed as a revised programme is being established; likely to be July 2018. |
| 4. Completion of build | | No | |

| | |
|----------------------------|----|
| 5. Allocation of New Homes | No |
|----------------------------|----|


| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|---------------|-------------|-------------|
| Satisfaction of tenants with new homes and neighbourhood – Tinkers Green |  | | | |
| Satisfaction of tenants with new homes and neighbourhood – Kerria |  | | | |
| Number of new homes built and allocated to those who need them – Kerria |  | | | |
| Number of new homes built and allocated to those who need them – Tinkers Green |  | | | |
| New Retail Facility Created – Tinkers Green |  | | | |
| New Retail Facility Created – Kerria |  | | | |

| | | | |
|-------------------------|---|---------------------------|---|
| Project/Action | Garage sites redevelopment | | |
| Desired Outcome | Access to suitable housing is improved Neighbourhoods are improved Satisfaction with neighbourhoods increased | | |
| Latest Update | | | |
| Milestones | Due Date | Completed (Yes/No) | Note |
| Phase One start on site | 30-Apr-2017 | Yes | Work has started on Phase One. |
| Phase One Completed | 31-Dec-2017 | No | Phase one was completed ahead of schedule at the end of November 2017 and provided 19 units of accommodation across the borough.. |
| Phase Two start on site | 01-Jan-2018 | No | Cabinet is currently reviewing the garage sites programme |

| | | | |
|-----------------------------|--|----|--|
| Allocation of future phases | | No | |
| Future phases start on site | | No | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|---|---------------|-------------|-------------|
| Satisfaction of tenants with new homes and neighbourhood – Garage Sites Redevelopment |  | | | |
| Number of new homes built and allocated to those who need them – Garage Sites Redevelopment |  | | | |



| | | | | |
|---|---|---------------------------|--|--|
| Project/Action | New Repairs Contract | | | |
| Desired Outcome | Council homes and neighbourhoods are maintained to a high standard Tenants receive a responsive repairs service The housing asset is maintained in accordance with stock condition requirements | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Commission consultants to support options review | 30-Apr-2017 | Yes | | |
| New contractor mobilised | 30-Apr-2017 | Yes | | |
| Review of new contract performance | 30-Sep-2017 | Yes | | |
| Findings of options review agreed | 31-Dec-2017 | No | Findings of options review agreed and being considered by Cabinet in February 2018 | |
| Report to members on future arrangements for service delivery | 28-Feb-2018 | No | Report at Cabinet on 22nd February 2018 | |
| Implement future options | | No | | |
| Measure satisfaction | | No | | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|---------------|-------------|-------------|
| Percentage of local authority dwellings that achieve the decent homes standard |  | 60.1% | 2016/17 | |

| Project/Action | Preparation for collection of the Business Improvement District (BID) Levy | | | |
|--|---|--------------------|--|--|
| Desired Outcome | Implementation of the software necessary to facilitate collection of the levy income Maximise the level of income collected for investment in local infrastructure | | | |
| Latest Update | As the ballot resulted in the BID being rejected, the software is now no longer required. | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Budget approval for purchase of software | 30-Apr-2017 | Yes | Budget approved by Council on 21 February 2017 | |
| Software purchased | 03-Nov-2017 | Yes | Original plan was to purchase software by 31 August – delayed until result of the ballot known early in November 2017. As the ballot resulted in the BID being rejected, the software is now no longer required. | |
| Software installed and tested | 31-Dec-2017 | Yes | As the ballot resulted in the BID being rejected, the software is now no longer required. | |
| Levy included on 2018/19 bills | 31-Mar-2018 | Yes | As the ballot resulted in the BID being rejected, the software is now no longer required. | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|----------------------|------------|---------------|-------------|-------------|
| | | | | |

| | | | | |
|------------------------|---|-----------------|---------------------------|-------------|
| Project/Action | Business Rates Retention | | | |
| Desired Outcome | Maximise collection of business rates within the GBS rate retention pool | | | |
| Latest Update | <p>The Council is a member of the Greater Birmingham and Solihull Local Enterprise Partnership (GBSLEP) Business Rates Pool – for 2016/17 a levy of £612k (payable to the GBSLEP pool) was generated through additional growth in business rates income.</p> <p>As a result of the pool being in place, the GBSLEP will have £1.3m in total from the outturn for 2016/17 to support projects to improve the economy and infrastructure of the region.</p> | | | |
| Milestones | | Due Date | Completed (Yes/No) | Note |
| | | | | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|---|----------------------|--------------------|--------------------|
| Percentage of Non-domestic Rates Collected |  | 83.40% | December 2017 | |
| Percentage change in rateable value of commercial buildings |  | 1.61% | Q2 2017/18 | |



| | | | | |
|------------------------|---|--|--|--|
| Project/Action | Commercial opportunities in business decision making | | | |
| Desired Outcome | <p>A risk/reward based return on investment requirement within planned projects</p> <p>Producing options appraisals, business cases and review opportunities for setting up Local Authority Trading Companies as well as other business models for service to maximise return on Council assets and increase economic benefit for the Council</p> | | | |
| Latest Update | <p>The Tamworth Commercial Investment Strategy to promote growth and regeneration was approved by Cabinet on 16th June 2016.</p> <p>Key outcomes such as the revitalisation of the Town Centre; the regeneration of the Gungate site; the development of an Inward Investment Strategy that will seek to provide the basis for ongoing managed growth, future funding bids and more.</p> <p>Work has progressed on the development (and set up) of a trading company (including the potential for development of private sector housing for market rental), the regeneration of the Gungate site and the development of an Place Investment Strategy.</p> | | | |

A meeting of the Steering Group was held on 23rd May 2017 who gave approval for establishing our 'arm's length' or Independent Trading Company which will provide the means and structure from which we can generate sustainable income streams on behalf of the Council. This could be by acting as Private Sector Landlord; Joint Venture Partner; Asset Management or, subject to viability and a robust business plan, operating services on a commercial footing. The establishment of the Trading Company is very much seen as the precursor to us building new houses for market rent in the very near future. Work is nearing completion on the development of the financial modelling (including tax implications) to support the business plan to demonstrate the potential return for the Company and the Council.







Further work has centred on the regeneration of the former Gungate Precinct site and the potential to increase the size of the site to include other land in order to encourage a more ambitious development scheme.

Progress has been made on the third work stream with proposals designed to encourage both Inward Investment and the continued Growth of Existing Business with the consequence that we now have an outline specification/brief to support the commissioning of the strategy.

| Milestones | Due Date | Completed (Yes/No) | Note |
|------------|----------|--------------------|------|
| | | | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|---|---------------|-------------|--|
| Income collected through adopted commercial approach |  | N/A | Q3 2017/18 | This performance indicator can be updated when a Commercial Investment Strategy project progresses to income generation stage. |
| Rate of return on identified projects in excess of 5% |  | N/A | Q3 2017/18 | As above. |

| | | | |
|---------------------------------------|--|---------------------------|---|
| Project/Action | Heritage, leisure & events | | |
| Desired Outcome | A defined successful outdoor events programme is implemented Heritage venues are well maintained and open to the public Heritage lottery bid submitted to progress improvement works at the Castle | | |
| Latest Update | | | |
| Milestones | Due Date | Completed (Yes/No) | Note |
| Outdoor events plan published | 30-Apr-2017 | Yes | |
| Castle Heritage Lottery bid submitted | 31-Aug-2017 | Yes | The bid was submitted in August 2017 and was successful; £499,000 was awarded. The money will be used for a project that will create an innovative and engaging permanent exhibition exploring and celebrating Tamworth's important Anglo-Saxon history. |
| Events held throughout the year | 31-Mar-2018 | No | |




| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|----------------------|--------------------|--------------------|
| Number of attendees at Arts Development Events |  | 8,936 | 2017/18 | |
| Number of participants at Arts Development Events |  | 5,413 | 2017/18 | |
| Visitor Numbers (Outdoor Events) |  | 24,822 | 2017/18 | |
| Overall Satisfaction Rate "Good to Excellent" (Outdoor Events) |  | 99% | 2017/18 | |
| Percentage of people surveyed who would recommend event (Outdoor Events) |  | 99% | 2017/18 | |
| Number of additional events supported – Outdoor Events |  | 49 | 2017/18 | |

| | | | | |
|---|---|--------|---------|--|
| Total Number of visits/usages – Tamworth Castle |  | 33,471 | 2017/18 | |
| Total Number of Visitors – Tamworth Castle |  | 30,642 | 2017/18 | |
| Trip Advisor Rating – Tamworth Castle |  | 4.5 | 2016/17 | |

Corporate Priority




3. Delivering quality services in Tamworth

| | | | | |
|--|---|---------------------------|---|--|
| Project/Action | Organisational well-being | | | |
| Desired Outcome | A workforce that is dynamic, agile and capable to adapt to our changing environment | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Corporate Well-being day | 30-Apr-2017 | Yes | | |
| Time to Change Pledge | 30-Apr-2017 | Yes | | |
| Staff Attitude Survey | 31-Dec-2017 | Yes | Survey undertaken in November 2017. Results to be analysed and will form the basis for the development of an action plan. | |
| Payroll/HR services available via self serv | 31-Jan-2018 | Yes | All services now available. | |
| Delivery of Corporate Plan | 31-Mar-2018 | No | | |
| Staff Annual General Meeting/Celebration event | 31-Oct-2018 | No | Celebration event held. Staff AGM to take place by October 2018. | |
| Completion of the Agile Working Project | 31-Dec-2018 | No | A new implementation plan is to be developed by the end of February 2018. | |
| Workforce Development Plan approved | 31-Dec-2018 | No | Work has commenced on this and is linked to the senior management review. | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|---------------|---------------|---|
| Working Days Lost Due to Sickness Absence (Year to date) |  | 6.44 | November 2017 | |
| Working Days Lost Due to Sickness Absence (Monthly) |  | 1.32 | November 2017 | |
| Results of Staff Attitude Survey |  | Yes | 2017/18 | Survey undertaken in November 2017. Results to be analysed and will form the basis for the development of an action plan. |


| Project/Action | Digital Customer Services | | | |
|---|--|--------------------|--|--|
| Desired Outcome | Council services available for customers available on-line | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Customer Access Survey | 30-Apr-2017 | Yes | | |
| Specification of Customer Portal | 30-Apr-2017 | Yes | | |
| Approve revised Customer Services and Access Strategy | 31-Dec-2017 | No | | |
| Communications and Marketing Plan (digital) | 31-Dec-2017 | No | This will form part of the Corporate Digital Strategy that is being developed and is to be considered by the Senior Leadership Team in January 2018. | |
| Digital by default plan submitted and approved | 31-Dec-2017 | No | Research is ongoing to support the development of this plan. To be completed by December 2017. | |

| | | | |
|---|-------------|----|--|
| Review of Customer Services and Access Strategy | 31-Dec-2017 | No | The review has commenced and is due to be completed by December 2017. |
| Customer Portal Implementation Plan | 31-Mar-2018 | No | Date to be defined and dependent upon procurement activity. |
| Procurement of customer portal | 31-Mar-2018 | No | A specification has been detailed. Soft market testing carried out with several suppliers. Specification is with procurement for launch in January 2018. |
| Implementation of customer portal commencing with Council Tax | 30-Apr-2018 | No | |
| Review portal implementation and customer satisfaction. | 30-Sep-2018 | No | |
| Website Development Plan (static content) | 30-Sep-2019 | No | The Content Management System (CMS) is being upgraded from Drupal 7 to 8 over the next two years up to 30th September 2019. All content will be reviewed during this process which has commenced with the 'Visit Tamworth' site. |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|---|---------------|-------------|--|
| The number of face to face enquiries |  | 6,112 | 2016/17 | Annual figure only available due to system being decommissioned. |
| The number of self-serve processes available for customers |  | 67 | 2017/18 | |
| The number of Portal users |  | 0 | 2017/18 | Portal not yet procured. |



| | | | | |
|--|---|-------|---------|--|
| The number of downloads from the Tamworth App |  | 2,890 | 2017/18 | |
| The number of active users of the Tamworth App |  | 448 | 2017/18 | |
| The number of Ombudsman complaints received |  | 7 | 2016/17 | |
| The number of Ombudsman decisions made |  | 8 | 2016/17 | |

| | | | | |
|---------------------------------------|--------------------------------|---------------------------|-------------|--|
| Project/Action | Corporate Knowledge Hub | | | |
| Desired Outcome | | | | |
| Latest Update | | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Workshop to scope design and delivery | 31-May-2017 | Yes | | |
| Plan submitted and approved | 31-Mar-2018 | No | | |


| | | | | |
|---|---|----------------------|--------------------|--------------------|
| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
| Number of Reports delivered to support the Tamworth Community Offer |  | | | |

| | | | | |
|---|---|---------------------------|---|--|
| Project/Action | New General Data Protection Regulations | | | |
| Desired Outcome | Processes and procedures to enable compliance with data legislation | | | |
| Latest Update | Activity in the third quarter of 2017/18 has seen the development of the Information Asset and an awareness campaign. | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Endorsement of action plan for implementation | 30-Apr-2017 | Yes | Action plan endorsed and in progress Two members of staff qualified as practitioners in General Data Protection Regulations to enable implementation. A new statutory role of Data Protection | |

| | | | |
|--|-------------|----|--|
| | | | Officer has been established. |
| All staff trained in internal framework and legislation | 31-May-2018 | No | On line training will commence in February 2018 with 'face to face' training given to those members of staff in higher risk areas. |
| Processes and procedures tested and developed into local framework | 31-May-2018 | No | The development of processes is on going and on target for completion in May 2018. |




| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|--|--|---------------|-------------|---|
| The number of data breaches |  | N/A | Q2 2017/18 | This performance indicator will measure reportable data breaches as defined by the regulations and won't commence until 25/05/2018. |
| The number of complaints upheld by the Information Commissioner's Office |  | | 2017/18 | The criteria around this still needs to be agreed and measurement of this performance indicator won't commence until 25/05/2018. |




| | | | | |
|--------------------------------|--|---------------------------|---|--|
| Project/Action | Office 365 | | | |
| Desired Outcome | Corporate roll out of Office 365 functionality All officers trained and competent in use of technology Access to Exchange online Access to cloud services | | | |
| Latest Update | Progress in the trial areas: <ul style="list-style-type: none"> • Revenues – Managers trained and testing the product and providing fortnightly updates on progress. Training is being arranged for the rest of the team, • Housing – Training scheduled for managers, • ICT – Managers trained and testing virtual meetings. | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Roll out of technology | 31-Jan-2018 | Yes | The technology is installed and dependent on feedback from Revenues, Housing and ICT. | |
| New working practises in place | 30-Jun-2018 | No | | |
| Training completed | 30-Jun-2018 | No | | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|---|----------------------|--------------------|--|
| The percentage of the organisation using Office 365 |  | N/A | Q2 2017/18 | Trials of this in the scoped service areas (ICT, Housing, Revenues) are about to commence and subject to the |

| | | | | |
|--|--|--|--|--|
| | | | | testing it will be rolled out corporately after the trial. |
|--|--|--|--|--|

| | | | | |
|--|--|---------------------------|---|--|
| Project/Action | Enablement of Self-Service | | | |
| Desired Outcome | Delivery of technology to support self service including portal, online forms, mobile apps and website development | | | |
| Latest Update | The first mobile app 'Report It' is under development with implementation due for May 2018. The specification for the customer portal is with procurement and due to be launched in mid January 2018. | | | |
| Milestones | Due Date | Completed (Yes/No) | Note | |
| Implementation of customer portal and integration to support website development | 31-Mar-2018 | No | The specification for the customer portal is with procurement and due to be launched in mid January 2018. | |
| Implementation of mobile apps and online forms | 31-May-2018 | No | The first mobile app 'Report It' is under development with implementation due for May 2018. | |

| Performance Measures | RAG Status | Current Value | Last Update | Latest Note |
|---|---|----------------------|--------------------|--|
| The number of face to face enquiries |  | 6,112 | 2016/17 | Annual figure only available due to system being decommissioned. |
| The number of telephone calls into the Customer Services Centre |  | 18,445 | Q3 2017/18 | |
| The number of self-serve processes available for customers |  | 67 | 2017/18 | |







| PI RAG Status | |
|---|--------------------------|
|  | Not at target |
|  | Close to target |
|  | At or better than target |
|  | Unknown |
|  | Data Only |




2017/18 Corporate Risk Register



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| Risk | Description of Risk | Date Last Reviewed | Severity | Likelihood | Current Risk Rating | Current Risk Status |
|--|--|--------------------|----------|------------|---------------------|---------------------|
| Loss of Community Cohesion | Failure to achieve community cohesion | 10-Jan-2018 | 3 | 3 | 9 | |
| Safeguarding Children & Vulnerable Adults | Failure to safeguard children and vulnerable adults | 10-Jan-2018 | 3 | 3 | 9 | |
| Medium Term Financial Planning & Sustainability Strategy | Loss of Funding and Financial Stability & application of uncertainties of Brexit | 10-Jan-2018 | 4 | 2 | 8 | |
| Inability to manage the impact corporately of the Government Austerity measures and new legislative requirements | Inability to manage the impact corporately of the Government Austerity measures and new legislative requirements | 10-Jan-2018 | 4 | 2 | 8 | |
| Implementation of response to GDPR Legislation | General Data Protection Regulations (GDPR) coming into effect in May 2018 resulting in significant change for the organisation, including substantial penalties for failing to adhere and breaches | 10-Jan-2018 | 4 | 2 | 8 | |
| Information Management & Information Technology | Failure to secure and manage data and IT infrastructure | 10-Jan-2018 | 3 | 2 | 6 | |
| Health & Safety | Failure to manage Health & Safety | 10-Jan-2018 | 3 | 2 | 6 | |
| Reputation | Damage to Reputation | 10-Jan-2018 | 2 | 2 | 4 | |
| Governance & Regulatory Failure | Failure to achieve adequate Governance Standards and statutory responsibilities | 10-Jan-2018 | 2 | 2 | 4 | |

| Risk | Description of Risk | Date Last Reviewed | Severity | Likelihood | Current Risk Rating | Current Risk Status |
|---|---|--------------------|----------|------------|---------------------|---|
| Partnership Working and Supply Chain Challenges | Failure in partnership working, shared services or supply chain | 10-Jan-2018 | 2 | 2 | 4 |  |
| Emergency & Crisis Response Threats | Failure to manage an external or internal emergency/disaster situation | 10-Jan-2018 | 2 | 2 | 4 |  |
| Workforce Planning Challenges | Failure to manage workforce planning challenges | 10-Jan-2018 | 2 | 2 | 4 |  |
| Corporate Change | Failure to manage corporate change | 10-Jan-2018 | 2 | 2 | 4 |  |
| Taxi Licences | Taxi Licensing process not followed, giving rise to licenses being issued to persons who are not fit and proper | 10-Jan-2018 | 4 | 1 | 4 |  |
| Economic Changes | Failure to plan and adapt services to economic changes within the community | 10-Jan-2018 | 3 | 1 | 3 |  |

| Risk Status | |
|---|--|
|  High risk | |
|  Medium risk | |
|  Low risk | |

General Fund – Main Variances

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|-----------------------|------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|---|
| Human Resources | Salaries | 57,279 | 93,405 | (36,126) | 124,500 | (39,000) | 85,500 | Head of OD vacant post - less £10k potentially required for external support |
| Community Safety | Salaries | 31,295 | 65,027 | (33,733) | 86,230 | (44,220) | 42,010 | Underspend is forecast due to vacant posts |
| Community Wardens | Salaries | 138,452 | 167,080 | (28,628) | 222,120 | (43,620) | 178,500 | Vacant posts being covered by temporary staffing |
| | Payments For Temporary Staff | 36,135 | - | 36,135 | - | 48,180 | 48,180 | |
| Development Control | Legal Fees | 35,000 | - | 35,000 | - | 35,000 | 35,000 | Costs associated with Public Inquiry. Budget has been released to Consultants Fees to cover this spend. |
| | Consultants Fees | 53,922 | 98,010 | (44,088) | 100,090 | (35,000) | 65,090 | Underspend offset by spend on legal fees in respect of public inquiry. |
| | Fees & Charges Planning App | (261,650) | (164,970) | (96,680) | (220,000) | (90,000) | (310,000) | There have been several major applications and developers are submitting plans prior to the government set increase in fees which comes into force on 17th January 2018 |
| Industrial Properties | Rents | (756,824) | (710,000) | (46,824) | (770,000) | (40,000) | (810,000) | Windfall Income - one off ground rent for Neander |
| Marmion House | Rates | 123,964 | 187,770 | (63,806) | 187,770 | (63,800) | 123,970 | Windfall - reduction in Rateable Value compared to when |

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|----------------------------------|--------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|--|
| | | | | | | | | budgets were originally set |
| Assembly Rooms Bar | Wages | 50 | 14,040 | (13,990) | 18,690 | (18,690) | - | Underspend will offset under recovered income. |
| | Bar Purchases | 23 | 16,020 | (15,997) | 21,330 | (21,330) | - | |
| | Bar Sales | (67) | (40,410) | 40,343 | (53,840) | 53,840 | - | As the project has been delayed this income target is unachievable. There will savings on expenditure budgets across the service that offset this. |
| Assembly Rooms 3rd Party Tickets | Performers Fees | - | 17,730 | (17,730) | 23,590 | (23,590) | - | Underspend will be offset by loss of income. This is due to the delay in the Assembly Rooms capital project |
| | Split Profit Event Ticket Sale | 2,125 | 22,500 | (20,375) | 30,000 | (27,500) | 2,500 | Underspend will be offset by loss of income. This is due to the delay in the Assembly Rooms capital |
| | Ticket Sales | (237) | (14,580) | 14,343 | (19,450) | 19,000 | (450) | As the project has been delayed this income target is unachievable. There will savings on expenditure budgets across the service that offset this. |
| | Split Profit Event Income | (132) | (22,500) | 22,368 | (30,000) | 30,000 | - | |
| Castle & Museum | Salaries | 130,480 | 153,450 | (22,970) | 204,550 | (31,000) | 173,550 | Underspend is, in part offset, by overspend on wages. Vacant posts are being covered by casual staff. |
| | Wages | 27,335 | 6,930 | 20,405 | 9,230 | 25,000 | 34,230 | Offset by underspend on salaries. Vacant posts are being covered by temporary contracts. |

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|--------------------------|--------------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|--|
| Public Spaces | Vacancy Allowance | - | (52,020) | 52,020 | (69,300) | 69,300 | - | Vacancy Allowance |
| Tbc Highways Maintenance | Maintenance Highway Related Assets | 117,661 | 122,440 | (4,779) | 169,880 | - | 169,880 | Struggling to obtain any costing from Staffs County re works completed. This issues has been raised |
| | Maintenance Of Roads (Hra) | - | 37,260 | (37,260) | 49,640 | - | 49,640 | |
| | Maintenance Neighbourhood Ctr (Hra)` | - | 8,910 | (8,910) | 11,920 | - | 11,920 | |
| | Gulley Emptying | 14,503 | 2,520 | 11,983 | 3,380 | - | 3,380 | |
| | Management Agreement | 38,790 | 58,770 | (19,980) | 78,410 | - | 78,410 | |
| Joint Waste Arrangement | Specific Contingency | - | 50,000 | (50,000) | 50,000 | - | 50,000 | Expected that contingency budget will be required this year due to changes in service delivery |
| | Refuse Joint Arrangements | 954,912 | 1,001,850 | (46,938) | 1,335,800 | - | 1,335,800 | |
| ICT | Mft Licence/Mtce/Imp | 391,871 | 333,000 | 58,871 | 371,590 | 41,000 | 412,590 | Expected overspend offset by underspends on other budgets - to be re-aligned from 2018/19 |
| Community Development | Salaries | 60,702 | 81,540 | (20,838) | 108,680 | (29,000) | 79,680 | Underspend relates to a vacant post. The predicted outturn is based on the current situation but a service review is underway. |
| Community Development | Unallocated Salaries-Restructure | - | - | - | - | 20,000 | 20,000 | Potential costs of service review |
| Homelessness Strategy | Salaries | 45,912 | 74,280 | (28,368) | 99,050 | (37,000) | 62,050 | Vacant post being covered by temporary staff pending service |

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|---------------------|------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|---|
| | Payments For Temporary Staff | 31,100 | - | 31,100 | - | 37,000 | 37,000 | review |
| Voluntary Sector | Grants To Comm'ty Serv.Orgns | 86,500 | 79,210 | 7,290 | 112,280 | (40,000) | 72,280 | Saving of £25k in respect of untendered practical support. Plus a potential £15k from the purchase SCC Framework which was delayed resulting in the £15k potentially being met from the 18/19 budget. |
| Chief Executive | Salaries | 117,171 | 130,772 | (13,600) | 168,220 | (39,880) | 128,340 | Expected underspend following retirement of Chief Executive |
| Corporate Finance | Government Grants | (298,476) | (306,270) | 7,794 | (408,390) | (106,630) | (515,020) | Higher S31 grant income due to higher level of Small Business Rate relief |
| | Government Grants | (53,314) | - | (53,314) | - | (106,630) | (106,630) | Additional S31 grant - Discretionary Rate Relief Scheme |
| | Contribution From Reserves | (238,741) | - | (238,741) | (53,200) | (238,740) | (291,940) | Write back of reserves approved by Cabinet 30/11/17 |
| | Fees & Charges | (196,020) | - | (196,020) | - | (196,020) | (196,020) | Returned Levy from GBSLEP |
| Treasury Management | External Interest Payable | 1,915,510 | 2,034,990 | (119,480) | 2,713,280 | (159,310) | 2,553,970 | Lower than forecast as not planning to take additional debt of c.£8million |
| | Minimum Revenue Provision Gf | 43,240 | 71,910 | (28,670) | 95,880 | (38,230) | 57,650 | Lower than forecast MRP as not planning to take additional GF debt of c.£1million |
| | Treasury Man. Rechg To Hra | - | - | - | (2,731,580) | 99,410 | (2,632,170) | Lower than forecast as not planning to take |

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|-------------------------|-------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|---|
| | | | | | | | | additional debt of c.£8million |
| Electoral Process | Election Staff | 8,892 | 54,360 | (45,468) | 55,410 | (45,000) | 10,410 | One by-election only this year |
| Benefits | Provision For Bad Debts | - | - | - | 160,000 | (50,000) | 110,000 | Est change in provision as at p9 £65k therefore unlikely to require full budget |
| | Rent Allowances | 6,317,579 | 7,033,800 | (716,221) | 10,027,990 | (1,006,000) | 9,021,990 | Based on DWP Est Claim P9 |
| | Council Tenant Rent Rebates | 7,978,220 | 8,539,230 | (561,010) | 11,077,800 | (1,098,670) | 9,979,130 | |
| | Council Tenant Grant | (7,775,127) | (8,391,970) | 616,843 | (10,886,810) | 1,065,600 | (9,821,210) | |
| | Private Tenant Grant | (6,095,904) | (6,873,000) | 777,096 | (9,801,250) | 1,059,720 | (8,741,530) | |
| | Non-Hra Rent Rebate Grant | (157,518) | (102,960) | (54,558) | (137,140) | (67,630) | (204,770) | |
| | Discretionary Hsg Paymt Grant | (158,525) | - | (158,525) | - | (159,090) | (159,090) | Based on DHP Mid-Year Estimate |
| | Overpayment Private Tenant | (346,731) | (260,550) | (86,181) | (347,380) | (114,930) | (462,310) | Based on e-Fins @ P9 |
| | Overpayment Council Tenant | (277,926) | (220,860) | (57,066) | (294,500) | (76,070) | (370,570) | |
| | Pt Overpayment Recovery | 89,283 | - | 89,283 | - | 119,040 | 119,040 | |
| Ct Overpayment Recovery | 79,848 | - | 79,848 | - | 106,460 | 106,460 | | |
| Benefits Administration | Cont To Reserves | - | - | - | - | 45,700 | 45,700 | Gov't grant to be transferred to retained fund at year end |
| | Government Grants | (103,910) | (11,110) | (92,800) | (11,110) | (92,800) | (103,910) | New Burdens & Welfare reform grants - unspent amount to be transferred to retained fund at year end |
| | Admin. Grant | (270,310) | (293,830) | 23,520 | (361,770) | 31,320 | (330,450) | Reduction in admin grant receivable compared to budgeted amount |

Housing Revenue Account – Main Variances

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|----------------------------|------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|--|
| Housing Advice | Salaries | 189,203 | 212,040 | (22,837) | 282,760 | (40,000) | 242,760 | Vacant posts currently being covered by Temp staff pending service review |
| | Payments For Temporary Staff | 30,458 | - | 30,458 | - | 40,000 | 40,000 | |
| Regeneration Project | Council Tax Payments | 32,555 | - | 32,555 | - | 32,500 | 32,500 | Void properties awaiting demolition prior to regeneration |
| General - Business Support | S/Ware Mtce & Imp | 29,201 | 62,730 | (33,529) | 83,590 | - | 83,590 | Full spend expected once projects approved |
| H R A Summary | Provision For Bad Debts | 138,308 | 216,400 | (78,092) | 216,400 | - | 216,400 | UC continued on 29/11/17 with couples & families and initial predictions estimate an additional £65k of debt based on 20 new claims on UC per month. Bad debt is therefore likely to increase and this estimate will be kept under review and remains part of a robust approach to maximising income recovery. |
| | Item 8 Debit | - | - | - | 2,731,580 | (99,410) | 2,632,170 | Lower than forecast Item 8 DR as not planning to take additional HRA debt of £7.2million |
| | Contribution From Reserves | (83,500) | - | (83,500) | - | (83,500) | (83,500) | Reserve for pre-mobilisation of repairs contract not required and to be returned to balances |

| Cost Centre | Account Code | Year To Date Position Sub Total | Year To Date Position Budget | Year To Date Position Variance | Full Year Position Budget | Full Year Position Predicted Outturn Variance | Full Year Position Predicted Outturn | Comment |
|------------------------------|---------------------------------|---------------------------------|------------------------------|--------------------------------|---------------------------|---|--------------------------------------|--|
| | Rents | (13,614,325) | (13,536,518) | (77,807) | (17,558,690) | (80,000) | (17,638,690) | Rent income is currently exceeding budget due to void levels being lower than budgeted |
| Repairs Contract | Responsive Repairs | 535,843 | 1,127,483 | (591,640) | 1,503,310 | (400,000) | 1,103,310 | Predict £400k underspend based on level of repairs completed to date and invoices received. This will be reviewed on monthly basis and adjusted accordingly as contract progresses |
| Page 46 Repairs - General | Fire Fighting Equipment | 37,371 | 3,225 | 34,146 | 4,300 | 35,000 | 39,300 | Additional work undertaken following review of risk assessments after Grenfell incident |
| | Gas Heating Systems Maintenance | 97,160 | 138,743 | (41,582) | 184,990 | (55,000) | 129,990 | Variation due to % adjustment on new contract |
| | Gas Voids | (2,315) | 35,310 | (37,625) | 47,080 | (30,000) | 17,080 | Gas work on voids being picked up on responsive voids budget due to % adjustment on the contract |
| | Planned Maintenance | 6,412 | 238,478 | (232,066) | 317,970 | (80,000) | 237,970 | Work on High Rise blocks delayed pending planning permission |

Capital Programme Monitoring

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|---|
| Director of Technology & Corporate Programmes | | | | | | | | | | |
| Replacement It Technology | 41 | 98 | 115 | 17 | 118 | 118 | - | - | 118 | Project progressing and expect to be fully spent by year end |
| EDRMS (Electronic Document Records Management System) | 63 | 63 | - | (63) | 63 | - | (63) | 63 | 63 | Scoping of project following migration from Oracle platform to SQL server has not yet been agreed and therefore budget will not be spent this year |
| Air Conditioning | 32 | 32 | 32 | - | 32 | 32 | - | - | 32 | Project now completed |
| Gazetteer Development | 12 | 12 | 7 | (5) | 12 | 12 | - | - | 12 | Consultancy days booked to progress project |
| Business Improvement District (BID) Software | - | 13 | - | (13) | 17 | - | (17) | - | - | Ballot resulted in a No vote, therefore this software and the associated budget will not be required |
| New Time Recording System 17/18 | - | 11 | - | (11) | 15 | - | (15) | 15 | 15 | No spend expected this year as other projects including roll-out of i-Trent self-serve are taking priority, but budget requested to be re-profiled to 2018/19 |
| Self-Serve Customer Portal | - | 86 | - | (86) | 115 | 46 | (69) | 69 | 115 | ITT expected to be issued early Jan with returns by mid Feb. Not likely that budget will be fully spent this year. |
| Directorate Total | 148 | 315 | 154 | (161) | 372 | 208 | (164) | 147 | 355 | |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|--|
| Director of Communities, Partnerships & Housing | | | | | | | | | | |
| Private Sector Coalfields Fund | 92 | 92 | - | (92) | 92 | 12 | (80) | 80 | 92 | Programme of new initiatives to be developed to target help for vulnerable residents based on results of recent stock condition survey however, will need to reprofile significant funds to 2018/19 to deliver schemes. |
| Directorate Total | 92 | 92 | - | (92) | 92 | 12 | (80) | 80 | 92 | |
| Director of Growth, Assets & Environment | | | | | | | | | | |
| Page 48 Disabled Facilities Grant | 91 | 529 | 548 | 19 | 592 | 592 | - | - | 592 | Current commitments nearly accounts for the budget available for the full year and new cases are still being referred all the time. This position confirms the current budget allocation is significantly insufficient to meet demand. Monies allocated but not actually paid to 3rd parties - outside TBC control so may need to reprofile some funds into 2018/19. |
| Cctv Camera Renewals | 29 | 40 | - | (40) | 44 | 9 | (35) | 35 | 44 | Review of cameras locations etc. now completed - Report to Scrutiny Committee in 2018 to discuss way forward. |
| Streetscene Service Delivery Enhancements | 30 | 30 | - | (30) | 30 | - | (30) | 30 | 30 | Delays in the project due to the delays in the full implementation of the CRM system. Delivery of new vehicles in 2017/18 may need to use these funds to facilitate purchase of software interface. |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|------------------------------------|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|---|
| Wigginton Park Section Section 106 | 29 | 29 | 18 | (11) | 29 | 19 | (10) | 10 | 29 | Plans ongoing to deliver items from the Wigginton Park Management Plan - may need to reprofile £10k to 2018/19 depending on delivery timescales |
| Broadmeadow Nature Reserve | 44 | 54 | 47 | (7) | 55 | 55 | - | - | 55 | Ongoing works to complete management plan and HLS agreement. Bridge works completed however ongoing contractual dispute over rates and works undertaken. |
| Public Open Space Section 106 | 31 | 51 | 42 | (9) | 51 | 42 | (9) | 9 | 51 | Project group established - list of works currently being collated for remaining budget. May need to reprofile £9k to 2018/19 depending on delivery timescales |
| Agile Working Phase 2 | 124 | 124 | - | (124) | 124 | - | (124) | 124 | 124 | Expenditure of this budget is reliant upon us entering into a formal lease agreement with the NHS. Based on recent discussions it would appear that the NHS will not be in a position to make any formal decisions within the current financial year and as such we would not propose undertaking any alteration works in Marmion House. The full amount is to be re-profiled into 2018/19. |
| Street Lighting | 1 | 3 | 1 | (2) | 4 | 4 | - | - | 4 | - |
| Belgrave Play Area | - | 60 | 60 | - | 60 | 60 | - | - | 60 | All works completed - Official opening on Friday 12/01/18 |
| Local Nature Reserve | - | 22 | - | (22) | 22 | - | (22) | 22 | 22 | Section 106 funds released September - plans to deliver being developed but may have to reprofile to 2018/19 |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|------------------------------------|-------------------------------------|-----------------|----------------|----------------|--------------|------------------------|----------------|----------------|--------------|--|
| Assembly Rooms Development | 100 | 1,285 | 310 | (975) | 1,680 | 680 | (1,000) | 1,000 | 1,680 | Work started onsite December - Building works to be finished in approx. 15 months. Will be necessary to reprofile funds into 2018/19 to complete works |
| Castle Mercian Trail | 635 | 766 | 18 | (748) | 766 | 46 | (720) | 720 | 766 | Bid to HLF has been successful - project update report to be sent to Cabinet January 2018. Likely to need to reprofile funds to 2018/19. |
| Gateways | 27 | 154 | 25 | (129) | 197 | 65 | (132) | 12 | 77 | The County Council are progressing the works to phase two between the Station and the town. Budget reduced to reflect external spend on project previously included. |
| Cultural Quarter - Phil Dix Centre | - | 2 | 2 | - | 2 | 2 | - | - | 2 | Project complete - official opening of the centre will be on 26th July |
| Cultural Quarter - Carnegie Centre | 10 | 10 | 3 | (7) | 10 | 3 | (7) | 7 | 10 | No update to report progress not likely until towards the end of the year |
| Cultural Quarter - Public Realm | - | 22 | 22 | - | 22 | 22 | - | - | 22 | Works being led by SCC - although any spend from TBC to support project will be reclaimed through SLGF Returns. |
| Directorate Total | 1,151 | 3,181 | 1,096 | (2,085) | 3,688 | 1,599 | (2,089) | 1,969 | 3,568 | |
| Contingency | | | | | | | | | | |
| Gf Contingency | 50 | 50 | - | (50) | 50 | - | (50) | 50 | 50 | Carry forward as contingency for 2018/19 |
| Cont-Return On Investment | 160 | 160 | - | (160) | 160 | - | (160) | 160 | 160 | Carry forward as contingency for 2018/19 |
| GF Contingency Plant and Equipment | 1,000 | 1,000 | - | (1,000) | 1,000 | - | (1,000) | 100 | 100 | Carry forward £100,000 as contingency for 2018/19 |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|----------------|--------------|------------------------|----------------|----------------|--------------|--|
| Civil Contingencies Technology 17/18 (Contingency) | - | 14 | - | (14) | 19 | - | (19) | 19 | 19 | Alternatives to the Emergency Control Room originally planned are to be investigated, and budget is unlikely to be spent this year |
| Refurbishment of Marmion House Reception (Contingency) | - | 75 | - | (75) | 100 | - | (100) | 100 | 100 | - |
| Private Sector Improvement Grants (Coalfields Funding) | 130 | 130 | - | (130) | 130 | - | (130) | 130 | 130 | - |
| Directorate Total | 1,340 | 1,429 | - | (1,429) | 1,459 | - | (1,459) | 559 | 559 | |
| GENERAL FUND TOTAL | 2,731 | 5,017 | 1,250 | (3,767) | 5,611 | 1,819 | (3,792) | 2,755 | 4,574 | |

| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|-----------------|---------------|------------------------|-----------------|----------------|---------------|---|
| Director of Communities, Partnerships & Housing | | | | | | | | | | |
| Gas Cent Htng Upgrd & Ren 2012 | - | - | (7) | (7) | - | (7) | (7) | - | (7) | Final charges for 2016/17 works from Mears less than anticipated |
| Tinkers Green Project | 2,613 | 7,593 | 449 | (7,144) | 9,253 | 601 | (8,652) | 8,652 | 9,253 | Awarded construction contract with start on site anticipated early Spring 2018. Decision required from January Project Board re level of pre contract spend required before contract can be signed. Will need to reprofile significant budgets to 2018/19 |
| Kerria Estate Project | 739 | 2,097 | 176 | (1,921) | 2,550 | 250 | (2,300) | 2,300 | 2,550 | |
| Regeneration General | 2,054 | 3,554 | 1,892 | (1,662) | 4,304 | 2,504 | (1,800) | 1,800 | 4,304 | Garage programme T1a sites due for completion early 2018 (19 council homes) – only 4 homes left to complete. November Cabinet agreed to a review of programme which will result in a underspend in the current year. It is likely that the funds will need to be reprofiled to 2018/19, |
| Other Acquisitions | 918 | 2,043 | 599 | (1,444) | 2,168 | 868 | (1,300) | 1,300 | 2,168 | Delays in build process on section S106 acquisitions and one off acquisitions likely to mean schemes will not complete by end of financial year so may need to reprofile funds in to 2018/19. |
| Directorate Total | 6,324 | 15,287 | 3,109 | (12,178) | 18,275 | 4,216 | (14,059) | 14,052 | 18,268 | |
| Director of Growth, Assets & Environment | | | | | | | | | | |
| Structural Works | - | 75 | 14 | (61) | 100 | 100 | - | - | 100 | This is an ad-hoc budget that will be spent according to structural defects being identified through |

| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|---|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|--|
| Bathroom Renewals | - | 597 | 622 | 25 | 796 | 796 | - | - | 796 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end |
| Gas Central Heating Upgrades and Renewals | - | 386 | 524 | 138 | 514 | 514 | - | - | 514 | |
| Kitchen Renewals | - | 709 | 547 | (162) | 945 | 945 | - | - | 945 | |
| Major Roofing Overhaul and Renewals | - | 121 | 8 | (113) | 161 | 161 | - | - | 161 | |
| Window and Door Renewals | - | 188 | 51 | (137) | 250 | 250 | - | - | 250 | |
| Neighbourhood Regeneration | - | 75 | 39 | (36) | 100 | 100 | - | - | 100 | This is an ad-hoc budget - spending plans being considered. |
| Disabled Facilities Adaptations | - | 237 | 126 | (111) | 316 | 316 | - | - | 316 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end. It is anticipated that the value of works required will exceed the available budget. |
| High Rise Lift Renewals 2012 | 1,055 | 606 | 254 | (352) | 606 | 375 | (231) | 231 | 606 | Anticipate that only one lift will be completed within year. Remainder have been ordered and are being manufactured but will not be complete until new year. |

| | | | | | | | | | | |
|--------------------------------------|--|------------------------|-----------------------|----------------------|--------------------|-------------------------------|----------------------|-----------------------|---------------------|--|
| Fire Upgrades To Flats 2012 | 718 | 2,079 | 19 | (2,060) | 2,079 | 362 | (1,717) | 1,717 | 2,079 | Anticipate that only one of the blocks will be completed before year end subject to outcome of tender process. To be reviewed again in December. |
| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
| Sheltered Schemes | 143 | 143 | 133 | (10) | 143 | 143 | - | - | 143 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end |
| Energy Efficiency Improvements | 100 | 138 | 45 | (93) | 150 | 150 | - | - | 150 | |
| Roofing High-Rise | 43 | 43 | - | (43) | 43 | - | (43) | 43 | 43 | Due to overall High-Rise programme Peel House Roof will not be completed until mid-2018 |
| High Rise Balconies | 577 | 577 | - | (577) | 577 | 96 | (481) | 481 | 577 | Only 1 of the 6 blocks is likely to be complete by year-end. |
| Works to High Rise Flats | 515 | 598 | 37 | (561) | 598 | 100 | (498) | 498 | 598 | Anticipate that only 1 block will be complete at year-end. |
| Retention of Garage Sites | - | 113 | 1 | (112) | 150 | 150 | - | - | 150 | Further work is required to identify the programme once the garage site development programme has been agreed. |
| Capital Salaries | - | - | - | - | 201 | 201 | - | - | 201 | To be recharged as part of final accounts process |
| CDM Fees | - | 4 | - | (4) | 5 | - | (5) | - | - | We do not anticipate spending this budget |
| Directorate Total | 3,151 | 6,689 | 2,420 | (4,269) | 7,734 | 4,759 | (2,975) | 2,970 | 7,729 | |
| HRA Contingency | | | | | | | | | | |
| HRA Contingency | 100 | 100 | - | (100) | 100 | - | (100) | 100 | 100 | - |
| Directorate Total | 100 | 100 | - | (100) | 100 | - | (100) | 100 | 100 | |
| HOUSING REVENUE ACCOUNT TOTAL | 9,575 | 22,076 | 5,529 | (16,547) | 26,109 | 8,975 | (17,134) | 17,122 | 26,097 | |

Capital Programme Monitoring

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|---|
| Director of Technology & Corporate Programmes | | | | | | | | | | |
| Replacement It Technology | 41 | 98 | 115 | 17 | 118 | 118 | - | - | 118 | Project progressing and expect to be fully spent by year end |
| EDRMS (Electronic Document Records Management System) | 63 | 63 | - | (63) | 63 | - | (63) | 63 | 63 | Scoping of project following migration from Oracle platform to SQL server has not yet been agreed and therefore budget will not be spent this year |
| Air Conditioning | 32 | 32 | 32 | - | 32 | 32 | - | - | 32 | Project now completed |
| Gazetteer Development | 12 | 12 | 7 | (5) | 12 | 12 | - | - | 12 | Consultancy days booked to progress project |
| Business Improvement District (BID) Software | - | 13 | - | (13) | 17 | - | (17) | - | - | Ballot resulted in a No vote, therefore this software and the associated budget will not be required |
| New Time Recording System 17/18 | - | 11 | - | (11) | 15 | - | (15) | 15 | 15 | No spend expected this year as other projects including roll-out of i-Trent self-serve are taking priority, but budget requested to be re-profiled to 2018/19 |
| Self-Serve Customer Portal | - | 86 | - | (86) | 115 | 46 | (69) | 69 | 115 | ITT expected to be issued early Jan with returns by mid Feb. Not likely that budget will be fully spent this year. |
| Directorate Total | 148 | 315 | 154 | (161) | 372 | 208 | (164) | 147 | 355 | |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|--|
| Director of Communities, Partnerships & Housing | | | | | | | | | | |
| Private Sector Coalfields Fund | 92 | 92 | - | (92) | 92 | 12 | (80) | 80 | 92 | Programme of new initiatives to be developed to target help for vulnerable residents based on results of recent stock condition survey however, will need to reprofile significant funds to 2018/19 to deliver schemes. |
| Directorate Total | 92 | 92 | - | (92) | 92 | 12 | (80) | 80 | 92 | |
| Director of Growth, Assets & Environment | | | | | | | | | | |
| Page 56 Disabled Facilities Grant | 91 | 529 | 548 | 19 | 592 | 592 | - | - | 592 | Current commitments nearly accounts for the budget available for the full year and new cases are still being referred all the time. This position confirms the current budget allocation is significantly insufficient to meet demand. Monies allocated but not actually paid to 3rd parties - outside TBC control so may need to reprofile some funds into 2018/19. |
| Cctv Camera Renewals | 29 | 40 | - | (40) | 44 | 9 | (35) | 35 | 44 | Review of cameras locations etc. now completed - Report to Scrutiny Committee in 2018 to discuss way forward. |
| Streetscene Service Delivery Enhancements | 30 | 30 | - | (30) | 30 | - | (30) | 30 | 30 | Delays in the project due to the delays in the full implementation of the CRM system. Delivery of new vehicles in 2017/18 may need to use these funds to facilitate purchase of software interface. |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|-------------------------------|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|---|
| Wigginton Park Section 106 | 29 | 29 | 18 | (11) | 29 | 19 | (10) | 10 | 29 | Plans ongoing to deliver items from the Wigginton Park Management Plan - may need to reprofile £10k to 2018/19 depending on delivery timescales |
| Broadmeadow Nature Reserve | 44 | 54 | 47 | (7) | 55 | 55 | - | - | 55 | Ongoing works to complete management plan and HLS agreement. Bridge works completed however ongoing contractual dispute over rates and works undertaken. |
| Public Open Space Section 106 | 31 | 51 | 42 | (9) | 51 | 42 | (9) | 9 | 51 | Project group established - list of works currently being collated for remaining budget. May need to reprofile £9k to 2018/19 depending on delivery timescales |
| Agile Working Phase 2 | 124 | 124 | - | (124) | 124 | - | (124) | 124 | 124 | Expenditure of this budget is reliant upon us entering into a formal lease agreement with the NHS. Based on recent discussions it would appear that the NHS will not be in a position to make any formal decisions within the current financial year and as such we would not propose undertaking any alteration works in Marmion House. The full amount is to be re-profiled into 2018/19. |
| Street Lighting | 1 | 3 | 1 | (2) | 4 | 4 | - | - | 4 | - |
| Belgrave Play Area | - | 60 | 60 | - | 60 | 60 | - | - | 60 | All works completed - Official opening on Friday 12/01/18 |
| Local Nature Reserve | - | 22 | - | (22) | 22 | - | (22) | 22 | 22 | Section 106 funds released September - plans to deliver being developed but may have to reprofile to 2018/19 |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|------------------------------------|-------------------------------------|-----------------|----------------|----------------|--------------|------------------------|----------------|----------------|--------------|--|
| Assembly Rooms Development | 100 | 1,285 | 310 | (975) | 1,680 | 680 | (1,000) | 1,000 | 1,680 | Work started onsite December - Building works to be finished in approx. 15 months. Will be necessary to reprofile funds into 2018/19 to complete works |
| Castle Mercian Trail | 635 | 766 | 18 | (748) | 766 | 46 | (720) | 720 | 766 | Bid to HLF has been successful - project update report to be sent to Cabinet January 2018. Likely to need to reprofile funds to 2018/19. |
| Gateways | 27 | 154 | 25 | (129) | 197 | 65 | (132) | 12 | 77 | The County Council are progressing the works to phase two between the Station and the town. Budget reduced to reflect external spend on project previously included. |
| Cultural Quarter - Phil Dix Centre | - | 2 | 2 | - | 2 | 2 | - | - | 2 | Project complete - official opening of the centre will be on 26th July |
| Cultural Quarter - Carnegie Centre | 10 | 10 | 3 | (7) | 10 | 3 | (7) | 7 | 10 | No update to report progress not likely until towards the end of the year |
| Cultural Quarter - Public Realm | - | 22 | 22 | - | 22 | 22 | - | - | 22 | Works being led by SCC - although any spend from TBC to support project will be reclaimed through SLGF Returns. |
| Directorate Total | 1,151 | 3,181 | 1,096 | (2,085) | 3,688 | 1,599 | (2,089) | 1,969 | 3,568 | |
| Contingency | | | | | | | | | | |
| Gf Contingency | 50 | 50 | - | (50) | 50 | - | (50) | 50 | 50 | Carry forward as contingency for 2018/19 |
| Cont-Return On Investment | 160 | 160 | - | (160) | 160 | - | (160) | 160 | 160 | Carry forward as contingency for 2018/19 |
| GF Contingency Plant and Equipment | 1,000 | 1,000 | - | (1,000) | 1,000 | - | (1,000) | 100 | 100 | Carry forward £100,000 as contingency for 2018/19 |

| GENERAL FUND | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|----------------|--------------|------------------------|----------------|----------------|--------------|--|
| Civil Contingencies Technology 17/18 (Contingency) | - | 14 | - | (14) | 19 | - | (19) | 19 | 19 | Alternatives to the Emergency Control Room originally planned are to be investigated, and budget is unlikely to be spent this year |
| Refurbishment of Marmion House Reception (Contingency) | - | 75 | - | (75) | 100 | - | (100) | 100 | 100 | - |
| Private Sector Improvement Grants (Coalfields Funding) | 130 | 130 | - | (130) | 130 | - | (130) | 130 | 130 | - |
| Directorate Total | 1,340 | 1,429 | - | (1,429) | 1,459 | - | (1,459) | 559 | 559 | |
| GENERAL FUND TOTAL | 2,731 | 5,017 | 1,250 | (3,767) | 5,611 | 1,819 | (3,792) | 2,755 | 4,574 | |

| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|--|-------------------------------------|-----------------|----------------|-----------------|---------------|------------------------|-----------------|----------------|---------------|---|
| Director of Communities, Partnerships & Housing | | | | | | | | | | |
| Gas Cent Htng Upgrd & Ren 2012 | - | - | (7) | (7) | - | (7) | (7) | - | (7) | Final charges for 2016/17 works from Mears less than anticipated |
| Tinkers Green Project | 2,613 | 7,593 | 449 | (7,144) | 9,253 | 601 | (8,652) | 8,652 | 9,253 | Awarded construction contract with start on site anticipated early Spring 2018. Decision required from January Project Board re level of pre contract spend required before contract can be signed. Will need to reprofile significant budgets to 2018/19 |
| Kerria Estate Project | 739 | 2,097 | 176 | (1,921) | 2,550 | 250 | (2,300) | 2,300 | 2,550 | |
| Regeneration General | 2,054 | 3,554 | 1,892 | (1,662) | 4,304 | 2,504 | (1,800) | 1,800 | 4,304 | Garage programme T1a sites due for completion early 2018 (19 council homes) – only 4 homes left to complete. November Cabinet agreed to a review of programme which will result in a underspend in the current year. It is likely that the funds will need to be reprofiled to 2018/19, |
| Other Acquisitions | 918 | 2,043 | 599 | (1,444) | 2,168 | 868 | (1,300) | 1,300 | 2,168 | Delays in build process on section S106 acquisitions and one off acquisitions likely to mean schemes will not complete by end of financial year so may need to reprofile funds in to 2018/19. |
| Directorate Total | 6,324 | 15,287 | 3,109 | (12,178) | 18,275 | 4,216 | (14,059) | 14,052 | 18,268 | |
| Director of Growth, Assets & Environment | | | | | | | | | | |
| Structural Works | - | 75 | 14 | (61) | 100 | 100 | - | - | 100 | This is an ad-hoc budget that will be spent according to structural defects being identified through |

| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
|---|-------------------------------------|-----------------|----------------|---------------|-------------|------------------------|---------------|----------------|--------------|--|
| Bathroom Renewals | - | 597 | 622 | 25 | 796 | 796 | - | - | 796 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end |
| Gas Central Heating Upgrades and Renewals | - | 386 | 524 | 138 | 514 | 514 | - | - | 514 | |
| Kitchen Renewals | - | 709 | 547 | (162) | 945 | 945 | - | - | 945 | |
| Major Roofing Overhaul and Renewals | - | 121 | 8 | (113) | 161 | 161 | - | - | 161 | |
| Window and Door Renewals | - | 188 | 51 | (137) | 250 | 250 | - | - | 250 | |
| Neighbourhood Regeneration | - | 75 | 39 | (36) | 100 | 100 | - | - | 100 | This is an ad-hoc budget - spending plans being considered. |
| Disabled Facilities Adaptations | - | 237 | 126 | (111) | 316 | 316 | - | - | 316 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end. It is anticipated that the value of works required will exceed the available budget. |
| High Rise Lift Renewals 2012 | 1,055 | 606 | 254 | (352) | 606 | 375 | (231) | 231 | 606 | Anticipate that only one lift will be completed within year. Remainder have been ordered and are being manufactured but will not be complete until new year. |

| | | | | | | | | | | |
|--------------------------------------|--|------------------------|-----------------------|----------------------|--------------------|-------------------------------|----------------------|-----------------------|---------------------|--|
| Fire Upgrades To Flats 2012 | 718 | 2,079 | 19 | (2,060) | 2,079 | 362 | (1,717) | 1,717 | 2,079 | Anticipate that only one of the blocks will be completed before year end subject to outcome of tender process. To be reviewed again in December. |
| HOUSING REVENUE ACCOUNT | Budget Reprofiled from 2016/17 £000 | YTD Budget £000 | YTD Spend £000 | Variance £000 | Budget £000 | Predicted Outturn £000 | Variance £000 | Reprofile £000 | Outturn £000 | Comments |
| Sheltered Schemes | 143 | 143 | 133 | (10) | 143 | 143 | - | - | 143 | Sufficient work has been identified to take up the full budget and full spend is anticipated at year-end |
| Energy Efficiency Improvements | 100 | 138 | 45 | (93) | 150 | 150 | - | - | 150 | |
| Roofing High-Rise | 43 | 43 | - | (43) | 43 | - | (43) | 43 | 43 | Due to overall High-Rise programme Peel House Roof will not be completed until mid-2018 |
| High Rise Balconies | 577 | 577 | - | (577) | 577 | 96 | (481) | 481 | 577 | Only 1 of the 6 blocks is likely to be complete by year-end. |
| Works to High Rise Flats | 515 | 598 | 37 | (561) | 598 | 100 | (498) | 498 | 598 | Anticipate that only 1 block will be complete at year-end. |
| Retention of Garage Sites | - | 113 | 1 | (112) | 150 | 150 | - | - | 150 | Further work is required to identify the programme once the garage site development programme has been agreed. |
| Capital Salaries | - | - | - | - | 201 | 201 | - | - | 201 | To be recharged as part of final accounts process |
| CDM Fees | - | 4 | - | (4) | 5 | - | (5) | - | - | We do not anticipate spending this budget |
| Directorate Total | 3,151 | 6,689 | 2,420 | (4,269) | 7,734 | 4,759 | (2,975) | 2,970 | 7,729 | |
| HRA Contingency | | | | | | | | | | |
| HRA Contingency | 100 | 100 | - | (100) | 100 | - | (100) | 100 | 100 | - |
| Directorate Total | 100 | 100 | - | (100) | 100 | - | (100) | 100 | 100 | |
| HOUSING REVENUE ACCOUNT TOTAL | 9,575 | 22,076 | 5,529 | (16,547) | 26,109 | 8,975 | (17,134) | 17,122 | 26,097 | |

Treasury Management Update – Period 9 - 2017/18**Investments held as at 31st December 2017:**

| Borrower | Deposit £m | Rate % | From | To | Notice |
|----------------------------------|---------------|-------------------|-----------|-----------|---------|
| Royal Bank of Scotland | 2.00 | 0.65% | 31-Jan-17 | 30-Jan-18 | - |
| Royal Bank of Scotland | 2.00 | 0.63% | 05-Apr-17 | 04-Apr-18 | - |
| Royal Bank of Scotland | 2.00 | 0.67% | 11-Apr-17 | 10-Apr-18 | - |
| Royal Bank Of Scotland | 2.00 | 0.61% | 05-Jun-17 | 25-May-18 | - |
| Bank of Scotland | 2.00 | 0.36% | 30-Jun-17 | 02-Jan-18 | - |
| Santander UK plc | 8.00 | 0.70% | 03-Jul-17 | 03-Jan-18 | - |
| Royal Bank Of Scotland | 2.00 | 0.6125% | 10-Jul-17 | 29-Jun-18 | - |
| Santander UK plc | 2.00 | 0.70% | 13-Jul-17 | 15-Jan-18 | - |
| Barclays Bank | 2.00 | 0.34% | 17-Jul-17 | 17-Jan-18 | - |
| Lloyds Bank | 1.00 | 0.36% | 09-Aug-17 | 09-Feb-18 | - |
| Bank of Scotland | 2.00 | 0.36% | 10-Aug-17 | 12-Feb-18 | - |
| Coventry BS | 2.00 | 0.35% | 05-Sep-17 | 05-Mar-18 | - |
| Coventry BS | 4.00 | 0.35% | 13-Sep-17 | 13-Mar-18 | - |
| Barclays Bank | 3.00 | 0.31% | 13-Sep-17 | 13-Mar-18 | - |
| Nationwide | 2.00 | 0.30% | 13-Sep-17 | 13-Mar-18 | - |
| Lloyds Bank | 2.00 | 0.36% | 03-Oct-17 | 03-Apr-18 | - |
| Lloyds Bank | 2.00 | 0.36% | 03-Oct-17 | 03-Oct-18 | - |
| Barclays Bank | 2.00 | 0.39% | 05-Oct-17 | 05-Apr-18 | - |
| Barclays Bank | 2.00 | 0.41% | 10-Oct-17 | 10-Apr-18 | - |
| Lloyds Bank | 1.00 | 0.90% | 30-Nov-17 | 30-Nov-18 | - |
| Lloyds Bank | 1.00 | 0.90% | 01-Dec-17 | 30-Nov-18 | - |
| Goldman Sachs International Bank | 2.00 | 0.665% | 15-Dec-16 | | 180 day |
| Goldman Sachs International Bank | 1.00 | 0.79% | 10-Feb-17 | | 180 day |
| Goldman Sachs International Bank | 1.00 | 0.755% | 06-Mar-17 | | 180 day |
| Goldman Sachs International Bank | 4.00 | 0.79% | 13-Mar-17 | | 180 day |
| Goldman Sachs International Bank | 2.00 | 0.775% | 05-Apr-17 | | 180 day |
| MMF – PSDF | 2.35 | 0.34* | - | - | On call |
| MMF – Ignis | 4.45 | 0.30* | - | - | On call |
| Total | 64.8 | 0.52 (avg) | | | |

* Interest rate fluctuates daily dependant on the funds investment portfolio, rate quoted is approximate 7 day average.

External Borrowing as at 31st December 2017:

| <u>Borrowing from PWLB</u> | | | | |
|-----------------------------------|--------------------|-------------------------|---------------------|------------------------|
| <u>Loan Number</u> | <u>Rate</u> | <u>Principal</u> | <u>Start</u> | <u>Maturity</u> |
| 475875 | 8.875% | 1,200,000 | 29/04/1995 | 25/04/2055 |
| 478326 | 8.000% | 1,000,000 | 17/10/1996 | 17/10/2056 |
| 479541 | 7.375% | 1,000,000 | 28/05/1997 | 28/05/2057 |
| 479950 | 6.750% | 2,000,000 | 02/10/1997 | 03/09/2057 |
| 481087 | 5.625% | 3,000,000 | 22/06/1998 | 22/06/2058 |
| 481641 | 4.500% | 1,400,000 | 09/10/1998 | 09/10/2058 |
| 483694 | 4.875% | 92,194 | 21/12/1999 | 18/10/2059 |
| 488835 | 5.000% | 2,000,000 | 01/07/2004 | 01/07/2034 |
| 490815 | 4.250% | 1,000,000 | 24/11/2005 | 24/05/2031 |
| 494265 | 4.430% | 2,000,000 | 21/01/2008 | 01/01/2037 |
| 494742 | 4.390% | 700,000 | 15/08/2008 | 15/08/2058 |
| 500759 | 3.520% | 5,000,000 | 28/03/2012 | 28/03/2053 |
| 500758 | 3.510% | 5,000,000 | 28/03/2012 | 28/03/2054 |
| 500757 | 3.510% | 5,000,000 | 28/03/2012 | 28/03/2055 |
| 500761 | 3.510% | 5,000,000 | 28/03/2012 | 28/03/2056 |
| 500755 | 3.500% | 5,000,000 | 28/03/2012 | 28/03/2057 |
| 500756 | 3.500% | 3,000,000 | 28/03/2012 | 28/03/2058 |
| 500753 | 3.500% | 1,000,000 | 28/03/2012 | 28/03/2059 |
| 500760 | 3.490% | 5,000,000 | 28/03/2012 | 28/03/2060 |
| 500762 | 3.490% | 5,000,000 | 28/03/2012 | 28/03/2061 |
| 500754 | 3.480% | 5,668,000 | 28/03/2012 | 28/03/2062 |
| 504499 | 3.230% | 3,000,000 | 30/11/2015 | 30/11/2065 |
| Total | | 63,060,194 | | |

THURSDAY, 26 APRIL 2018

REPORT OF THE PORTFOLIO HOLDER FOR REGENERATION**PROPOSED CHANGES TO NPPF AND REFORMING DEVELOPER CONTRIBUTIONS****EXEMPT INFORMATION**

None

PURPOSE

To seek approval to submit responses to the two Ministry of Housing, Communities and Local Government (MHCLG) recently published consultations on 1. Draft Revised National Planning Policy Framework (NPPF) and 2. Supporting Housing Delivery Through Developer Contributions, on behalf of Tamworth Borough Council.

RECOMMENDATIONS

1. That Cabinet approves the attached consultation response form to proposed revisions to the National Planning Policy Framework which closes 11:45am 10th May 2018.
2. That Cabinet approves the attached consultation response form to the Supporting Housing Delivery Through Developer Contributions consultation which closes 11:45am 10th May 2018.
3. That the Head of Managed Growth, Regeneration and Development in liaison with the Portfolio Holder for Regeneration be authorised to make changes to the consultation response forms following comments received at Planning Committee training scheduled for 18th April 2018.

EXECUTIVE SUMMARY

MHCLG published consultation early March 2018 on reforms to the planning system; the revised NPPF and reform of developer contributions. These follow the proposals in the housing white paper February 2017, Planning for the Right Homes in the Right Places consultation September 2017 and November 2017 Budget announcements.

The revised Framework comprises:

- a. A new National Planning Policy Framework
- b. National planning policy guidance update
- c. Reform of developer contributions
- d. Government's response to recent planning and housing consultations

The revised Framework is divided into clear chapters, includes previously consulted policy proposals as well as additional proposals that are being consulted on.

The current system of developer contributions is deemed to be too complex and acts as a barrier to new entrants. It is seen to allow developers to negotiate down affordable housing and infrastructure contributions. MHCLG has put forward proposals for consultation aimed at reforming the system.

Summary of proposals affecting Tamworth Borough

The policy proposals have been assessed and their effect on plan-making and decision-making in Tamworth have been drawn out. These implications are addressed in the consultation responses and are summarised below:

- Objectively assessed needs are to be accommodated unless there are clear reasons not to, including unmet need from neighbouring authorities.
- Amendments to the tests for a 'sound' plan
- Requirement to review plan policies every five years
- Preparation of a statement of common ground
- Changes to the approach and need for viability assessments and making assessments publically available.
- A new standardised method for calculating local housing need
- At least 20% of site allocations for housing in plans are half a hectare or less. Almost 50% of the allocations in Tamworth are half a hectare or less.
- A new housing delivery test and measures to penalise under delivery against the number of homes required. The test would apply from November 2018 and results of the test will be published annually for each local planning authority.
- Maximising brownfield land - development of under-utilised land and buildings such as above shops, car parks; extending upwards; retail conversion and employment land reverting to housing.
- Minimum density standards for town and city centres and around transport hubs
- Planning conditions that require developments to come forward within two years rather than three.
- Wider definition of affordable housing that promotes subsidised home ownership.

In addition to the above, further changes are proposed affecting development management including:

- Affordable housing contributions not required on sites of 10 units or less. Tamworth has adopted this approach already.
- Promoting exception sites to assist provision of entry-level homes suitable for first time buyers or those looking to rent their first home where a local need is identified.
- Allowing change of use in declining town centres.
- Clarification of a 'sequential approach' to out of centre development proposals.
- Maximum parking standards set only where clearly justified for managing the local road network.
- Policy to assess the transport impact of proposals including highway safety and capacity.
- Refusal of planning permission on the basis of poor design where supplementary planning documents or design policies are in place and are not met.

Developer Contributions

- The removal of pooling restrictions where no more than five section 106 contributions can be collected towards the provision of a single infrastructure item. This would assist on large sites where development is brought forward in separate phases and later phases may exceed the restriction and would not contribute to infrastructure.
- MHCLG has raised the possibility of developer contributions being set

nationally and being non-negotiable.

- A requirement for Local Authorities to publish Infrastructure Funding Statements in order to state how forecasted contributions will be spent over the next five years as well as continuing to monitor funds received and how they are spent.

Planning Committee will consider the implications of the Draft Revised National Planning Policy Framework and Supporting Housing Delivery Through Developer Obligations at a training event scheduled for 18th April 2018. The comments of Planning Committee will be an important component of the Tamworth response. It is requested that the Head of Managed Growth, Regeneration and Development in consultation with the Portfolio Holder for Regeneration be authorised to compile the comments received from Planning Committee and incorporate them into the consultation responses prior to submission.

OPTIONS CONSIDERED

The Council is not obliged to respond to the two consultations. The Council could decide not to submit a response but this would mean that the views of the Council would not be considered and the opportunity to influence the proposed changes to the NPPF and Developer Contributions would be lost.

RESOURCE IMPLICATIONS

The Draft Revised NPPF states that plan policies should be reviewed to assess whether an update is necessary at least every 5 years. The Tamworth Local Plan would need to be reviewed by 2021 and a policy change request has been approved to set funds aside to facilitate a plan review – budgets of £40k in 2018/19 with a further £40k in 2019/20, when an examination will be held.

Proposals relating to developer contributions will have little impact in Tamworth as it is intended to implement the Community Infrastructure Levy and the pooling restrictions will not apply to CIL.

LEGAL/RISK IMPLICATIONS BACKGROUND

The adopted status of the Tamworth Local Plan will ensure that the revised NPPF will not impact significantly at this time. The current adopted plan will however need to be reviewed in line with the revised NPPF in order for the plan to be designated as sound. The Local Plan evidence base will start to be refreshed this year in preparation for a potential review of the plan and this will take on board any revisions to the NPPF.

The NPPF revisions include the Housing Delivery Test which proposes that the 'presumption in favour of sustainable development' will apply if delivery falls below 75% of the identified requirement. The implication of this being that additional sites could come forward for development that the Local Authority would not be able to control. This would undermine the Local Plan and remedial action will be needed to improve delivery rates.

Proposals relating to developer contributions will have little impact in Tamworth as it is intended to implement the Community Infrastructure Levy and the pooling restrictions will not apply to CIL. Nationally set rates for developer contributions is an option that is being considered by MHCLG.

SUSTAINABILITY IMPLICATIONS

The Housing Delivery Test and the application of the presumption in favour of

sustainable development would be an undesirable outcome should housing delivery fall below prescribed standards. The presumption would allow greater freedom for other sites to come forward for development that may not be as sustainable as allocated or permitted sites

BACKGROUND INFORMATION

The Ministry of Housing, Communities and Local Government issued consultations on the draft revised National Planning Policy Framework on 5th March 2018, updated 9th March 2018 and Supporting Housing Delivery Through Developer Obligations also 5th March 2018. Both consultations run to 10th May 2018.

The draft revised NPPF text contains new policy proposals but in the main confirms or clarifies previous announcements or legislative amendments within the proposed new Framework that are intended to revise the 2012 NPPF. This revision forms part of the planning reforms set out in the February 2017 housing white paper and September 2017 Planning for the Right Homes in the Right Places consultation as well as announcements in the November budget.

The NPPF when published will set the Government's planning policies that will guide the preparation of local plans and the objectives for development. The revised NPPF will be central to the government's drive to deliver 300,000 net additional homes per year.

REPORT AUTHORS

Sushil Birdi, Senior Planning Policy and Delivery Officer, x279

Matt Bowers, Head of Managed Growth, Regeneration and Development, x277

LIST OF BACKGROUND PAPERS

Draft Revised National Planning Policy Framework –

<https://www.gov.uk/government/consultations/draft-revised-national-planning-policy-framework>

Supporting housing delivery through developer contributions –

<https://www.gov.uk/government/consultations/supporting-housing-delivery-through-developer-contributions>

APPENDICES

Appendix A – Consultation response form Draft revised National Planning Policy Framework

Appendix B – Consultation response form Supporting Housing Delivery Through Developer Contributions

Consultation response form

This is the response form for the consultation on the draft revised National Planning Policy Framework. If you are responding by email or in writing, please reply using this questionnaire pro-forma, which should be read alongside the consultation document. The comment boxes will expand as you type. Required fields are indicated with an asterisk (*)

Your details

| | |
|------------------------|---------------------------|
| First name* | Click here to enter text. |
| Family name (surname)* | Click here to enter text. |
| Title | Click here to enter text. |
| Address | Click here to enter text. |
| City/Town* | Click here to enter text. |
| Postal code* | Click here to enter text. |
| Telephone Number | Click here to enter text. |
| Email Address* | Click here to enter text. |

Are the views expressed on this consultation your own personal views or an official response from an organisation you represent?*

Please select an item from this drop down menu

If you are responding on behalf of an organisation, please select the option which best describes your organisation. *

Local authority (including National Parks, Broads Authority, the Greater London Authority and London Boroughs)

If you selected other, please state the type of organisation

Please provide the name of the organisation (if applicable)

Chapter 1: Introduction

Question 1

Do you have any comments on the text of Chapter 1?

No

Chapter 2: Achieving sustainable development

Question 2

Do you agree with the changes to the sustainable development objectives and the presumption in favour of sustainable development?

Yes

Please enter your comments here

Simplifying the approach to calculating local housing need is welcomed. Current methods to calculate objectively assessed housing need is complex and is often disputed through the examination stages incurring avoidable costs.

The requirement to accommodate OAHN by neighbouring authorities unless there are strong reasons not to is supported.

Question 3

Do you agree that the core principles section should be deleted, given its content has been retained and moved to other appropriate parts of the Framework?

Yes

Please enter your comments here

[Click here to enter text.](#)

Question 4

Do you have any other comments on the text of Chapter 2, including the approach to providing additional certainty for neighbourhood plans in some circumstances?

[Click here to enter text.](#)

Chapter 3: Plan-making

Question 5

Do you agree with the further changes proposed to the tests of soundness, and to the other changes of policy in this chapter that have not already been consulted on?

Yes

Please enter your comments here

The application of the tests of soundness reflecting reasonable alternatives and proportionate evidence is supported.

Question 6

Do you have any other comments on the text of chapter 3?

Click here to enter text.

Chapter 4: Decision-making

Question 7

The revised draft Framework expects all viability assessments to be made publicly available. Are there any circumstances where this would be problematic?

Not sure

Please enter your comments here

There would be support to increase levels of transparency however, this should be at a level that allows scrutiny and accountability. Further guidance will be needed to ensure that the processes are clear.

Question 8

Would it be helpful for national planning guidance to go further and set out the circumstances in which viability assessment to accompany planning applications would be acceptable?

No

Please enter your comments here:

Local Planning Authorities are able to determine circumstances where viability assessments may be required and this discretion should remain.

Question 9

What would be the benefits of going further and mandating the use of review mechanisms to capture increases in the value of a large or multi-phased development?

Please enter your comments below

In principle, a mechanism to capture uplift in values would be supported. However, it is assumed that values could also decrease if costs rise. Introducing further review mechanisms may affect planning contribution agreements which have already been agreed.

Question 10

Do you have any comments on the text of Chapter 4?

No

Chapter 5: Delivering a wide choice of high quality homes

Question 11

What are your views on the most appropriate combination of policy requirements to ensure that a suitable proportion of land for homes comes forward as small or medium sized sites?

Please enter your comments here

The term suitable proportion will vary between authorities. In areas where there are large sites providing the majority of housing units, this may be to the detriment of smaller and medium sized sites coming forward. The increasing pressure to deliver housing in large numbers will inevitably concentrate resources to schemes and areas that deliver the highest return. An added burden to secure other sites will stretch resources. The suitability, sustainability and deliverability of sites should inform the programme of sites coming forward rather than an arbitrary indicator where local circumstances are not taken into account.

Question 12

Do you agree with the application of the presumption in favour of sustainable development where delivery is below 75% of the housing required from 2020?

No

Please enter your comments here

Where Local Planning Authorities have made adequate provision of housing sites and provided a justified and realistic trajectory for delivery why should it follow that the LPA would be penalised? Surely the issue here would fall to developers not bringing forward sites and achieving the build out rates that have been allocated or permitted. There is a need to ensure that an open dialogue takes place between parties to support monitoring activities as well as measures to ensure developers build out at a faster rate where targets are not being achieved and where they have failed to provide accurate information.

Question 13

Do you agree with the new policy on exception sites for entry-level homes?

No

Please enter your comments here

Click here to enter text.

Question 14

Do you have any other comments on the text of Chapter 5?

Changes to affordable housing definitions introduces a greater element of subsidised home ownership products. This will reduce the delivery of affordable homes and Section 106 contributions.

Chapter 6: Building a strong, competitive economy

Question 15

Do you agree with the policy changes on supporting business growth and productivity, including the approach to accommodating local business and community needs in rural areas?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Question 16

Do you have any other comments on the text of chapter 6?

No

Chapter 7: Ensuring the vitality of town centres

Question 17

Do you agree with the policy changes on planning for identified retail needs and considering planning applications for town centre uses?

Yes

Please enter your comments here

Renewed importance given to the sequential test is supported.

Question 18

Do you have any other comments on the text of Chapter 7?

[Click here to enter text.](#)

Chapter 8: Promoting healthy and safe communities

Question 19

Do you have any comments on the new policies in Chapter 8 that have not already been consulted on?

No

Question 20

Do you have any other comments on the text of Chapter 8?

No

Chapter 9: Promoting sustainable transport

Question 21

Do you agree with the changes to the transport chapter that point to the way that all aspects of transport should be considered, both in planning for transport and assessing transport impacts?

Please select an item from this drop down menu

Please enter your comments here

The approach is generally acceptable however paragraph 109 recommends refusal of development proposals if the residual impacts on the road network or road safety are severe, The term severe is not defined and therefore cannot be measured and will inevitably lead to costly and time consuming disputes.

Question 22

Do you agree with the policy change that recognises the importance of general aviation facilities?

Please select an item from this drop down menu

Please enter your comments here

[Click here to enter text.](#)

Question 23

Do you have any other comments on the text of Chapter 9?

No

Chapter 10: Supporting high quality communications

Question 24

Do you have any comments on the text of Chapter 10?

No

Chapter 11: Making effective use of land

Question 25

Do you agree with the proposed approaches to under-utilised land, reallocating land for other uses and making it easier to convert land which is in existing use?

Please select an item from this drop down menu

Please enter your comments here

[Click here to enter text.](#)

Question 26

Do you agree with the proposed approach to employing minimum density standards where there is a shortage of land for meeting identified housing needs?

Yes

Please enter your comments here

A universal minimum density would not be appropriate but these should be set on a site by site basis based on the characteristics of the site and the local context.

Question 27

Do you have any other comments on the text of Chapter 11?

No

Chapter 12 : Achieving well-designed places

Question 28

Do you have any comments on the changes of policy in Chapter 12 that have not already been consulted on?

No further comments

Question 29

Do you have any other comments on the text of Chapter 12?

No

Chapter 13: Protecting the Green Belt

Question 30

Do you agree with the proposed changes to enable greater use of brownfield land for housing in the Green Belt, and to provide for the other forms of development that are 'not inappropriate' in the Green Belt?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Question 31

Do you have any other comments on the text of Chapter 13?

Click here to enter text.

Chapter 14: Meeting the challenge of climate change, flooding and coastal change

Question 32

Do you have any comments on the text of Chapter 14?

Click here to enter text.

Question 33

Does paragraph 149b need any further amendment to reflect the ambitions in the Clean Growth Strategy to reduce emissions from building?

Please select an item from this drop down menu

[Click here to enter text.](#)

Chapter 15: Conserving and enhancing the natural environment

Question 34

Do you agree with the approach to clarifying and strengthening protection for areas of particular environmental importance in the context of the 25 Year Environment Plan and national infrastructure requirements, including the level of protection for ancient woodland and aged or veteran trees?

Yes

Please enter your comments here

[Click here to enter text.](#)

Question 35

Do you have any other comments on the text of Chapter 15?

No

Chapter 16: Conserving and enhancing the historic environment

Question 36

Do you have any comments on the text of Chapter 16?

No

Chapter 17: Facilitating the sustainable use of minerals

Question 37

Do you have any comments on the changes of policy in Chapter 17, or on any other aspects of the text in this chapter?

No

Question 38

Do you think that planning policy in minerals would be better contained in a separate document?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Question 39

Do you have any views on the utility of national and sub-national guidelines on future aggregates provision?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Transitional arrangements and consequential changes

Question 40

Do you agree with the proposed transitional arrangements?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Question 41

Do you think that any changes should be made to the Planning Policy for Traveller Sites as a result of the proposed changes to the Framework set out in the consultation document? If so, what changes should be made?

Please select an item from this drop down menu

Please enter your comments here

Click here to enter text.

Question 42

Do you think that any changes should be made to the Planning Policy for Waste as a result of the proposed changes to the Framework set out in the consultation document? If so, what changes should be made?

Please select an item from this drop down menu

Please enter your comments here

[Click here to enter text.](#)

Glossary

Question 43

Do you have any comments on the glossary?

[Click here to enter text.](#)

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Developer Contributions Consultation response form

If you are responding by email or in writing, please reply using this questionnaire proforma, which should be read alongside the consultation document. You are able to expand the comments box should you need more space. Required fields are indicated with an asterisk (*)

This form should be returned to
developercontributionsconsultation@communities.gsi.gov.uk

Or posted to:

Planning and Infrastructure Division
Ministry of Housing, Communities and Local Government
2nd floor, South East
Fry Building
2 Marsham Street
LONDON
SW1P 4DF

By 10 May 2018

Your details

| | |
|------------------------|--|
| First name* | |
| Family name (surname)* | |
| Title | |
| Address | |
| City/Town* | |
| Postal Code* | |
| Telephone Number | |
| Email Address* | |

Are the views expressed on this consultation your own personal views or an official response from an organisation you represent?*

Please select an option from this drop down menu

If you are responding on behalf of an organisation, please select the option which best describes your organisation.*

Please select an option from this drop down menu

If you selected other, please state the type of organisation

Click here to enter text.

Please provide the name of the organisation (if applicable)

Click here to enter text.

Reducing Complexity and Increasing Certainty

Question 1

Do you agree with the Governments' proposals to set out that:

- i. Evidence of local infrastructure need for CIL-setting purposes can be the same infrastructure planning and viability evidence produced for plan making?

Yes

- ii. Evidence of a funding gap significantly greater than anticipated CIL income is likely to be sufficient as evidence of infrastructure need?

Yes

iii Where charging authorities consider there may have been significant changes in market conditions since evidence was produced, it may be appropriate for charging authorities to take a pragmatic approach to supplementing this information as part of setting CIL – for instance, assessing recent economic and development trends and working with developers (e.g. through local development forums), rather than procuring new and costly evidence?

Please select an answer from this drop down menu

Question 2

Are there any factors that the Government should take into account when implementing proposals to align the evidence for CIL charging schedules and plan making?

Click here to enter text.

Ensuring that consultation is proportionate

Question 3

Do you agree with the Government's proposal to replace the current statutory consultation requirements with a requirement on the charging authority to publish a statement on how it has sought an appropriate level of engagement?

Yes

Question 4

Do you have views on how guidance can ensure that consultation is proportionate to the scale of any charge being introduced or amended?

Click here to enter text.

Removing unnecessary barriers: the pooling restriction

Question 5

Do you agree with the Government's proposal to allow local authorities to pool section 106 planning obligations:

- i. Where it would not be feasible for the authority to adopt CIL in addition to securing the necessary developer contributions through section 106?

Yes

- ii. Where significant development is planned on several large strategic sites?

Yes

Question 6

- i. Do you agree that, if the pooling restriction is to be lifted where it would not be feasible for the authority to adopt CIL in addition to securing the necessary developer contributions through section 106, this should be measures based on the tenth percentile of average new build house prices?

Please select an answer from this drop down menu

- ii. What comments, if any, do you have on how the restriction is lifted in areas where CIL is not feasible, or in national parks?

Click here to enter text.

Question 7

Do you believe that, if lifting the pooling restriction where significant development is planned on several large strategic sites, this should be based on either:

- i. a set percentage of homes, set out in a plan, are being delivered through a limited number of strategic sites; or

Click here to enter text.

- ii. all planning obligations from a strategic site count as one planning obligation?

Why not both?

Question 8

What factors should the Government take into account when defining 'strategic sites' for the purposes of lifting the pooling restriction?

The size and scale of the development proposal. Sites that impact across local authority areas.

Question 9

What further comments, if any, do you have on how pooling restrictions should be lifted?

Pooling restrictions should be lifted for everybody if the intention is to make the system simpler. The regulation 122 tests should be sufficient to ensure that obligations sought are appropriate.

Improvements to the operation of CIL

Question 10

Do you agree with the Government's proposal to introduce a 2 month grace period for developers to submit a Commencement Notice in relation to exempted development?

Yes

Question 11

If introducing a grace period, what other factors, such as a small penalty for submitting a Commencement Notice during the grace period, should the Government take into account?

The introduction of a small penalty risks penalising some developers who, under the existing system of surcharges, would not currently have to pay. If introducing a 'grace period' perhaps the period should be shorter, say 28 days, and the charge should kick in after the grace period has expired.

Question 12

How else can the Government seek to take a more proportionate approach to administering exemptions?

There doesn't appear to be an easy way of administering exemptions while the clawback period exists, but removing that risks people gaming the system.

Question 13

Do you agree that Government should amend regulations so that they allow a development originally permitted before CIL came into force, to balance CIL liabilities between different phases of the same development?

Yes

Question 14

Are there any particular factors the Government should take into account in allowing abatement for phased planning permissions secured before introduction of CIL?

Where a development was granted planning permission before CIL came into force, developer contributions should be secured through S106. CIL should only apply to new development resulting from a revised permission. If the proposal is only to move some aspects of the development between different phases there should be no overall increase in the amount of development and there should be no need to take account of CIL as the impact should have already been mitigated by the existing planning obligations.

Question 15

Do you agree that Government should amend regulations on how indexation applies to development that is both originally permitted and then amended while CIL is in force to align with the approach taken in the recently amended CIL regulations?

Yes

Increasing market responsiveness

Question 16

Do you agree with the Government's proposal to allow local authorities to set differential CIL rates based on the existing use of land?

Yes

Question 17

If implementing this proposal do you agree that the Government should:

- i. encourage authorities to set a single CIL rate for strategic sites?

Yes

- ii. for sites with multiple existing uses, set out that CIL liabilities should be calculated on the basis of the majority existing use for small sites? Yes/No

Yes

- iii. set out that, for other sites, CIL liabilities should be calculated on the basis of the majority existing use where 80% or more of the site is in a single existing use?

Yes

- iv. What comments, if any, do you have on using a threshold of 80% or more of a site being in a single existing use, to determine where CIL liabilities should be calculated on the basis of the majority existing use?

This seems like a sensible approach that avoids unnecessary time spent working out the proportion of each use on the site as long as the guidance is clear on how the existing use is calculated. Otherwise, where the majority use is close to 80% there could be delays caused by arguments over whether the 80% threshold has been exceeded.

Question 18

What further comments, if any, do you have on how CIL should operate on sites with multiple existing uses, including the avoidance of gaming?

The existing use should be worked out on the most recent actual or permitted use. Where there is a disagreement over the existing use of the site, the applicant should be required to provide evidence to support their case like when applying for a certificate of lawful use.

Indexing CIL rates to house prices

Question 19

Do you have a preference that CIL rates for residential development being indexed to either:

- a) The change in seasonally adjusted regional house price indexation on a monthly or quarterly basis; OR

Please select an answer from this drop down menu

- b) The change in local authority-level house price indexation on an annual basis

Please select an answer from this drop down menu

Question 20

Do you agree with the Government's proposal to index CIL to a different metric for non-residential development?

Yes

Question 21

If yes, do you believe that indexation for non-residential development should be based on:

- i. the Consumer Price Index? OR

Please select an answer from this drop down menu

- ii. a combined proportion of the House Price Index and Consumer Prices Index?

Yes

Question 22

What alternative regularly updated, robust, nationally applied and publicly available data could be used to index CIL for non-residential development?

Click here to enter text.

Question 23

Do you have any further comments on how the way in which CIL is indexed can be made more market responsive?

Click here to enter text.

Improving transparency and increasing accountability

Question 24

Do you agree with the Government's proposal to?

- i. remove the restrictions in regulation 123, and regulation 123 lists?

Yes

- ii. introduce a requirement for local authorities to provide an annual Infrastructure Funding Statement?

No

Question 25

What details should the Government require or encourage Infrastructure Funding Statements to include?

Any statement should reinforce the information contained in an authority's Infrastructure Delivery Plan in terms of what projects the authority plans to contribute funding to from what sources (CIL/S106). Prioritising the projects to be funded by CIL would be helpful in providing clarity to communities, especially where CIL pots are likely to be built up slowly. However, to provide a projection for income from CIL/S106 over anything other than the short term would be difficult for authorities where a large proportion of their housing delivery comes from small windfall sites. In such circumstances, the accuracy of projections would be questionable and would undermine the objective of providing clarity to developers and communities. Details of where CIL monies have been spent would help with transparency. There is a further complication in the case of two tier authorities where the collection and payment of contributions are not managed centrally.

Question 26

What views do you have on whether local planning authorities may need to seek a sum as part of Section 106 planning obligations for monitoring planning obligations? Any views on potential impacts would also be welcomed.

This used to be common practice until the Oxfordshire County Council High Court decision in 2015. To more closely align with the CIL process, it should be set out in legislation that an additional sum should be required to cover monitoring of the obligations. For simplicity, it would be best for this to be a percentage of the total cost of obligations, but with a cap to prevent sites with large contributions from having a significant additional burden. These contributions should be allowed to be pooled towards monitoring of all obligations and shouldn't be restricted to monitoring only those obligations they are collected from to avoid an unnecessary burden on the authority of demonstrating where the monies have been spent.

A Strategic Infrastructure Tariff (SIT)

Question 27

Do you agree that Combined Authorities and Joint Committees with strategic planning powers should be given the ability to charge a SIT?

Yes

Question 28

Do you agree with the proposed definition of strategic infrastructure?

No

Question 29

Do you have any further comments on the definition of strategic infrastructure?

The proposed definition suggests that it would need to be demonstrated that a piece of infrastructure would impact on all the local areas across which the SIT is charged. This would risk making the definition too restrictive as there may be some areas which would not be directly impacted even though a piece of infrastructure may benefit a significant number of areas within a Combined Authority area for example.

Question 30

Do you agree that a proportion of funding raised through SIT could be used to fund local infrastructure priorities that mitigate the impacts of strategic infrastructure?

Yes

Question 31

If so, what proportion of the funding raised through SIT do you think should be spent on local infrastructure priorities?

The exact proportion would need to be considered as part of the evidence work for the SIT in order to determine what strategic benefits could be gained from delivering the local infrastructure. The greater the benefit, the higher the proportion that should be allowed to be spent on local infrastructure.

Question 32

Do you agree that the SIT should be collected by local authorities on behalf of the SIT charging authority?

Yes

Question 33

Do you agree that the local authority should be able to keep up to 4% of the SIT receipts to cover the administrative costs of collecting the SIT?

Yes

Technical clarifications

Question 34

Do you have any comments on the other technical clarifications to CIL?

Click here to enter text.

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**CABINET
26 APRIL 2018**

**REPORT OF THE PORTFOLIO HOLDER FOR ENVIRONMENT AND
CULTURE**

**INVITATION TO TENDER (ITT) ANIMAL WELFARE, (STRAY DOGS,
KENNELLING AND REHOMING) CONTRACT**

EXEMPT INFORMATION

Non Confidential

Purpose

To seek Cabinet approval to invite tenders for the Animal Welfare, (Stray Dogs, Kennelling And Rehoming) Contract, and to obtain authority to enter into contract with the most economically advantageous bid received..

Recommendations

To authorise the Head of Environmental Health to invite tenders and appoint a contractor for kennelling, collection, re-homing and associated services in respect of stray dogs in Tamworth.

EXECUTIVE SUMMARY

The current arrangements for this service is a contract let jointly between East Staffordshire Borough Council (ESBC) and Tamworth Borough Council and runs from 2014 to 2019.

In January 2018, ESBC advised that they wished to withdraw from the current arrangement, thus requiring the service to be retendered by TBC.

In accordance with Financial Guidance, Cabinet approval is needed to enable invitations to tender, and to enter into contract.

A new ITT has been developed and authority is sought to put arrangements in place to ensure continuity of service.

RESOURCE IMPLICATIONS

The collection and kennelling covered by this report are delivered by external contractors and there are therefore no human resource implications bar dealing with customer enquiries and Contract Management.

LEGAL/RISK IMPLICATIONS/OPTIONS CONSIDERED

The Council is statutorily obliged, by virtue of Section 149 Environmental Protection Act 1990, and the Environmental Protection (Stray Dogs) Regulations 1992, to provide a stray dog service. With effect from 06 April 2008, Local Authorities became solely responsible for the collection and receipt of all stray dogs, similar responsibilities having been removed from the Police by Section 68 Clean Neighbourhoods and Environment Act 2005.

Failure to make adequate arrangements for stray dogs could leave the Council open to claims of maladministration and possible legal action from owners or other animal welfare organisations. The control of stray dogs is an emotive subject with members of the public. In addition to the legal minimum duties that must be satisfied in respect of provision of a stray dog service, there are also animal welfare considerations, reinforced by the Animal Welfare Act 2006. In order to protect its reputation and avoid adverse publicity the Council must be seen to deliver a service that meets its statutory obligations but is also sensitive to public concerns, whilst ensuring that animals in its care are treated appropriately.

SUSTAINABILITY IMPLICATIONS

The effective control of stray dogs will enable the three corporate priorities, 'Living a quality life in Tamworth', 'Growing Strong in Tamworth' and 'Delivering quality services in Tamworth' to be met by reducing the incidence of dog-fouling, irresponsible breeding and other potential nuisances, together with creating a safer environment with reduced road traffic accidents and attacks from dogs in public places. Use of public open space is also enhanced by the absence of stray dogs.

EQUALITY AND DIVERSITY IMPLICATIONS

The service will continue to ensure that all dog owners are dealt with equitably.

Background

.

Financial Implications

A review of the current demand on the service reveals the annual spend to be around £16K per year, and it is anticipated the future contract costs will be contained within existing budgets.

Report Author

"If Members would like further information or clarification prior to the meeting please contact Steve Lewis , Head of Environmental Health Tel: 01827 709437